

Sources and Levels of PCBs in Indoor Environments



NIEHS Superfund Research Program and EPA Clu-In Webinar
PCBs in Schools: Session 1 Overview and Exposure Assessment,
April 21, 2014

Kent Thomas
U.S. EPA Office of Research & Development
National Exposure Research Laboratory



Presentation Topics

- Sources of PCBs in school buildings
- PCB source emissions
- Environmental levels of PCBs in schools
- Congener-specific measurements
- Potential for exposures to PCBs in schools
- Additional resources for information/guidance

Why Study PCBs in School Buildings?

Assessment

Whether to test?

- School age?
- To determine if PCBs present?
- To reduce exposures?
- Renovations/demolition planned?



What to test (and how)?

- Indoor air?
- Dust/surfaces?
- Caulk? Adjoining material?
- Light ballast survey?
- Other materials?
- Soil?

Information needed for:

- Characterizing the problem
- Informing decision-making
- Building assessment approaches/methods
- Best practices for exposure reduction and remediation

Remediation

Whether to remediate?

- Based on source?
- Based on PCB level(s)?
 - Air, surfaces, soil?
- Based on potential exposure?



How to remediate?

- By Cleaning?
- By Ventilation?
- By source? Which one(s)?
 - How?
 - Removal?
 - Encapsulation?

For buildings constructed or renovated between about 1950 and the late 1970s

EPA/ORD Research

 EPA
United States
Environmental Protection
Agency

EPA/600/R-12/051 | September 30, 2012 | www.epa.gov/ord

Polychlorinated Biphenyls (PCBs) in School Buildings: Sources, Environmental Levels, and Exposures

Kent Thomas, Jianping Xue, Ronald Williams, Paul Jones, Donald Whitaker



Office of Research and Development
National Exposure Research Laboratory

EPA/ORD research reports on PCBs in schools are available at:

<http://www.epa.gov/pcbsincaulk/caulkresearch.htm>

- **Study of sources, environmental levels and exposures in school buildings**
- Laboratory studies of PCB emission, transport and absorption
- Laboratory study of encapsulant effectiveness
- Laboratory study of in-situ treatment method
- Literature review of remediation methods (conducted by EH&E)

Research Questions



Can we characterize important primary and secondary sources of PCBs in school buildings?

What levels of PCBs can be found in air, dust, soil and on surfaces in schools with PCB sources?

How much exposure might occur to building occupants?

What are the most important routes of exposure?

Research Approach

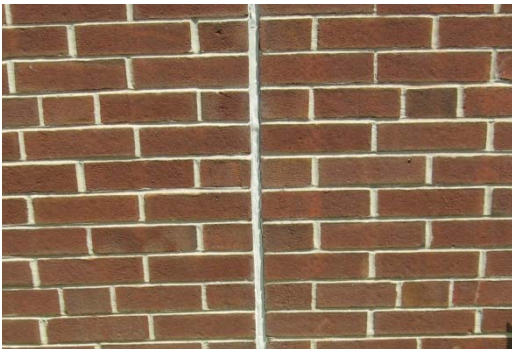
- Source assessment
 - Primary sources – caulk and light ballasts (6 schools)
 - Secondary sources – paint, tile, furnishings, etc. (3 schools)
 - Emission rate estimation

- Environmental levels (6 schools except dust)
 - Air, surface, dust, soil PCB concentrations
 - Within and between-school variability

- Congener and homolog measurements for one school

- Exposure modeling
 - Estimate PCB exposure distributions for different age groups
 - Assess relative importance of different exposure pathways

PCB Sources – Caulk and Other Sealants



- U.S. Production of Aroclors as a plasticizer ingredient
 - 1958 - 4 million lbs.
 - 1969 - 19 million lbs.
 - 1971 – 0 lbs.

- PCBs were sometimes added to caulk during construction

- Used for
 - Exterior and interior windows and doors
 - Exterior and interior joints
 - Window glazing
 - Other locations/seams (plumbing, casework, etc.)

- Caulk with PCBs \geq 50 parts per million (ppm) is not an allowed use

PCB Sources – Caulk and Other Sealants



Total PCBs in Caulk	Interior Caulks From 5 Schools	Exterior Caulks From 3 Schools
---------------------	-----------------------------------	-----------------------------------

Note: Multiple samples of the same type of caulks were collected

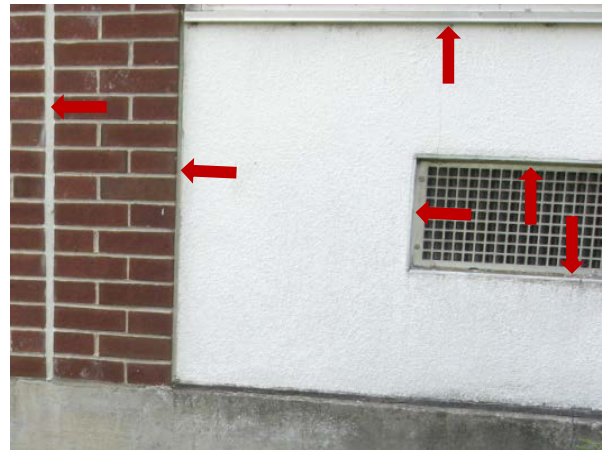
Number of Samples:	427	73
--------------------	-----	----

Percent of Caulk Samples

< 50 ppm	82.2	37.0
50 – 999 ppm	7.7	6.8
1,000 - 99,999 ppm	4.0	21.9
100,000 – 199,999 ppm	2.3	12.3
200,000 – 299,999 ppm	3.3	15.1
300,000 – 399,999 ppm	0.2	6.8
> 400,000 ppm	0.2	0.0
	6.0%	34%

100,000 ppm is 10% by weight

PCB Sources – Caulk and Other Sealants



- PCBs in caulk/sealants move over time into:
 - Adjoining wood, cement, brick
 - Air and dust inside schools
 - Soil near school buildings
 - Other materials/furnishings

- Although installed 40 – 60 years ago, high PCB levels remain and emissions will continue far into the future

- We have found that caulk with high PCB levels is usually still flexible and often largely intact

- Visual identification of caulk with PCBs is not reliable

PCB Sources – Fluorescent Light Ballasts



- Fluorescent and high intensity light ballast capacitors
 - Prior to 1977 - Most contained PCBs
 - 1977 – 1978 - Some new ballasts contained PCBs
 - After 1978 - No new ballasts manufactured w PCBs
- Most ballasts with measurements found to contain A1242 (or similar A1016); one has been found with A1254
- Most PCB-containing ballasts have exceeded their expected lifetimes
- Failure and release of PCBs will continue and may increase



PCB Sources – Fluorescent Light Ballasts

	School 1	School 2	School 3	School 4	School 5	School 6**
Total Examined	727	487	619	927	--	33
Likely PCB-Containing	417	373	275	879	--	8
% Ballasts Likely w PCBs	57%	77%	44%	95%	--	24%

** Only a small subset of ballasts in the school were surveyed

PCB Sources – Fluorescent Light Ballasts



- PCBs are continuously released into the air from intact, functioning light ballasts
 - When lights are off, emissions are low
 - When lights are on, the ballast heats up, and emissions increase several-fold

- PCB ballasts can fail, releasing PCB vapors into the air and liquid PCBs onto surfaces
 - Air levels of PCBs can become quite large
 - Surfaces can be contaminated
 - Significant impact/costs to remediate

- Residues from previously failed ballasts can remain in light fixtures even if the ballast is replaced

PCB Sources – Secondary Sources/Sinks



- PCBs released from primary sources are absorbed into other materials in the school environment over time
- Following removal of primary sources, PCBs in secondary sources may be released into the school environment and result in continuing exposures
- In some cases, secondary sources may need to be considered for additional remedial actions following removal/remediation of primary sources

PCB Sources – Secondary Sources/Sinks



- In three schools with caulk and fluorescent light ballast PCB sources, 93% of 411 building material samples had measurable levels of PCBs
- Examples of some median and maximum PCB levels in different materials:
 - Paint 39 ppm (max. 720 ppm)
 - Fiberboard 31 ppm (max. 55 ppm)
 - Dust 22 ppm (max. 87 ppm)
 - Varnish 11 ppm (max. 62 ppm)
 - Ceiling tile 7.6 ppm (max. 14 ppm)
 - Laminate 5.4 ppm (max. 200 ppm)
 - Floor tile 4.4 ppm (max. 57 ppm)
- Paint may be an important secondary source due to its high surface area
- Dust is important as a source of ingestion and inhalation exposures

Predictions of PCB Emissions from Building Materials

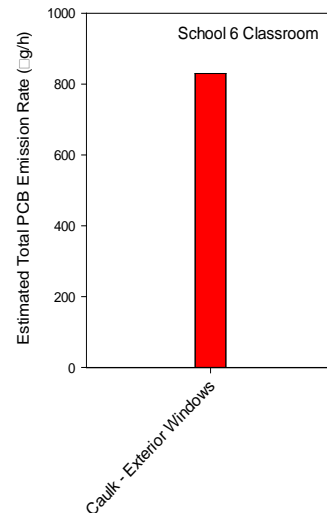
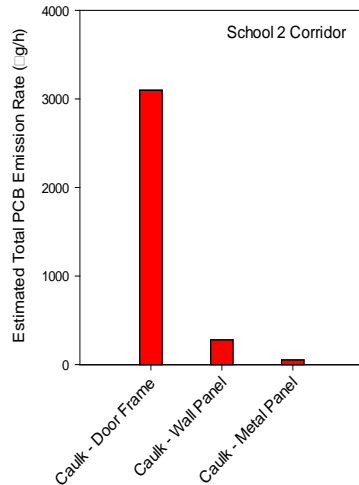
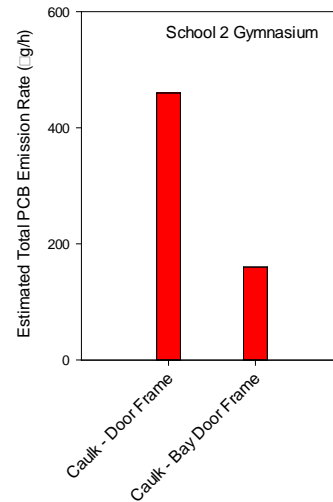
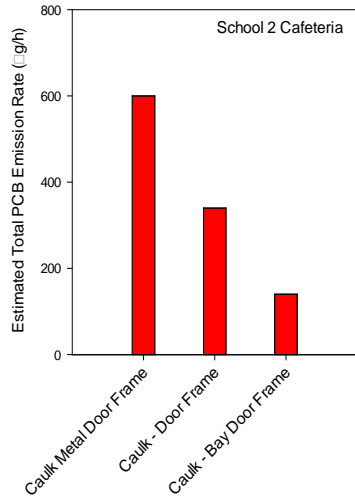
- Goals:
 - Relative comparisons for multiple materials (mitigation decisions)
 - Assess importance of potential secondary sources

- PCB emission rate predictions based on EPA laboratory chamber emissions measurements of caulks and light ballasts

- Caulk PCB emission parameters applied to “other materials”

- Relies on several assumptions and there are uncertainties
 - Ballast and “other materials” results should be considered screening-level only

Example Estimates of Total PCB Emission Rates from Caulk



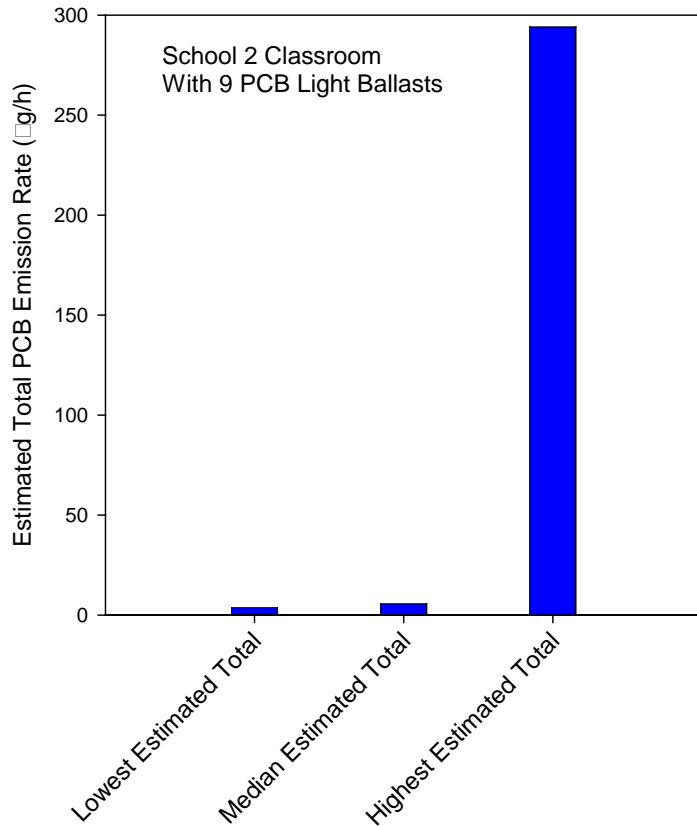
For several caulks with >50,000 ppm PCBs

Estimated total PCB emission rates ranged from 53 to 3100 µg/hour

Depended on PCB concentration in caulk and caulk surface area

Temperature effects not assessed in this analysis – chamber studies show PCB emission rates increase with increasing temperature

Example Screening-Level Estimates of Total PCB Emission Rates from Light Ballasts



There are considerable uncertainties in these estimates

Total PCB emissions estimated based on emission rates measured for several congeners in chamber tests of 4 intact ballasts at 45° C

There was an approximately 60-fold difference in emissions among the four ballasts.

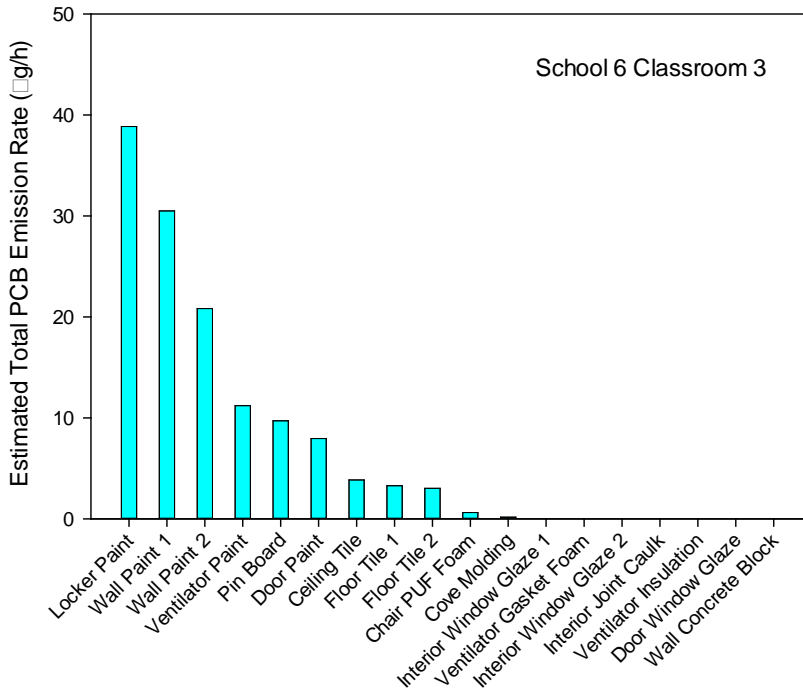
Estimated total PCB emission rates from intact operating ballasts ranged from

1.2 µg/hour for a classroom with 3 ballasts emitting at lowest rate to

290 µg/hour for a classroom with 9 ballasts emitting at the highest rate

Emissions from leaking ballasts or contaminated light fixtures not assessed but may to be significant

Example Screening-Level Estimates of Total PCB Emission Rates from Other Materials



There are considerable uncertainties in the "other materials" estimates

Total PCB emission rates estimated based on emission parameters for caulk in chamber tests

Emission rates for individual materials ranged from <1 to 100 µg/hour in classrooms

Emission rates for individual materials ranged from <1 to 1100 µg/hour in gymnasiums

Paints had highest estimated emission rates due to relatively high PCB levels and high surface areas

Effect of emissions on indoor air PCB levels is complicated because the materials also act as "sinks" – absorbing PCBs from the air

PCB Levels in the School Environment

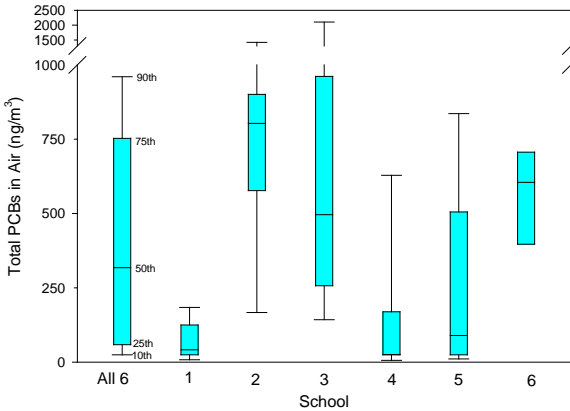
Summary of measurements from six schools

Environmental Medium (units)	Total PCB Levels		
	Median	75 th Percentile	Maximum
Indoor Air (ng/m ³)	318	730	2920
Indoor Surface Wipes (µg/100cm ²)			
High-contact surfaces (tables/desks)	0.15	0.33	2.8
Low-contact surfaces (floors/walls)	0.20	0.42	2.3
Indoor dust at one school (ppm)	22	53	87
Outdoor Soil (ppm)			
0.5' from building; 0 – 2" soil depth	<QL	2.1	210
3' from building; 0 – 2" soil depth	<QL	0.55	21
8' from building; 0 – 2" soil depth	<QL	<QL	5.3
Outdoor Air (ng/m ³)	<QL	<QL	<QL

QL = Quantifiable Limit

PCB Levels in the School Environment

Air measurement distributions at 6 schools



➤ Indoor Air

- PCB concentrations in air exceeded EPA-recommended levels in many school rooms
- There was considerable within- and between-school variability in indoor air concentrations

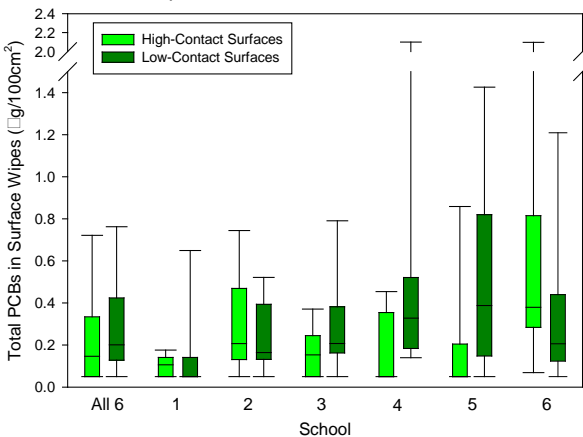
➤ Surface Wipes

- Most surface wipes were less than 1 $\mu\text{g}/100\text{cm}^2$
- There was considerable within- and between school variability in surface wipe levels

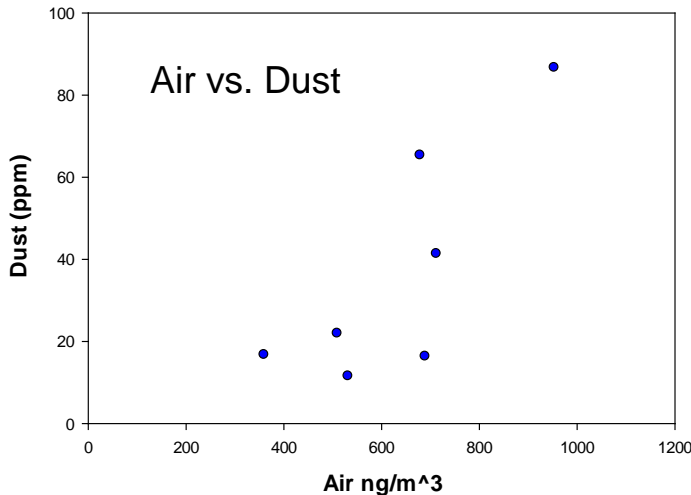
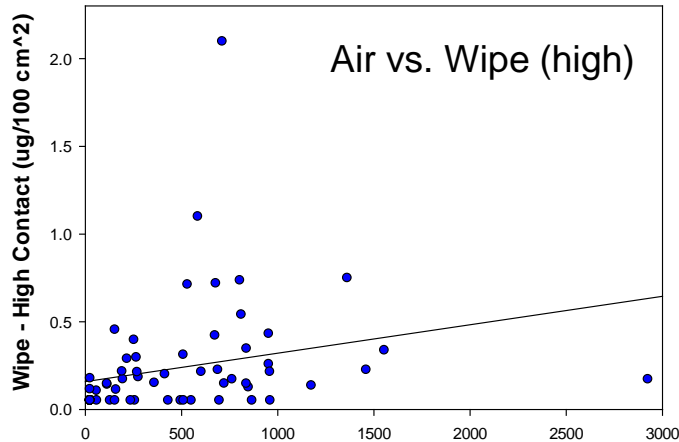
➤ Soil

- Soil concentrations varied greatly between schools
- Some levels were greater than 1 ppm
- In general, levels decreased with increasing distance from buildings

Surface wipe measurement distributions at 6 schools



Correlations Between Media PCB Concentrations



		Spearman Correlation	
Schools/Sample Media	N	<i>r</i>	<i>p</i> -value
<u>Schools 1 - 6</u>			
Indoor Air High-Contact Surface Wipe	64	0.531	<0.001
Indoor Air Low-Contact Surface Wipe	64	0.247	0.050
High-Contact Surface Wipe Low-Contact Surface Wipe	64	0.220	0.081

		Pearson Correlation	
Schools/Sample Media	N	<i>r</i>	<i>p</i> -value
<u>School 6</u>			
Indoor Air Dust	7	0.81	0.029

Aroclor vs Congener Analysis

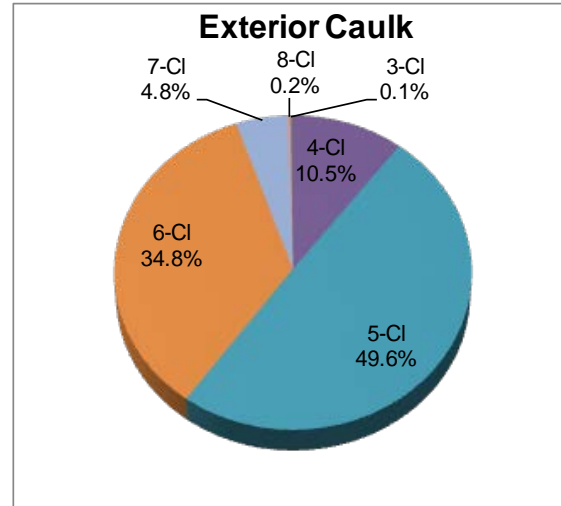
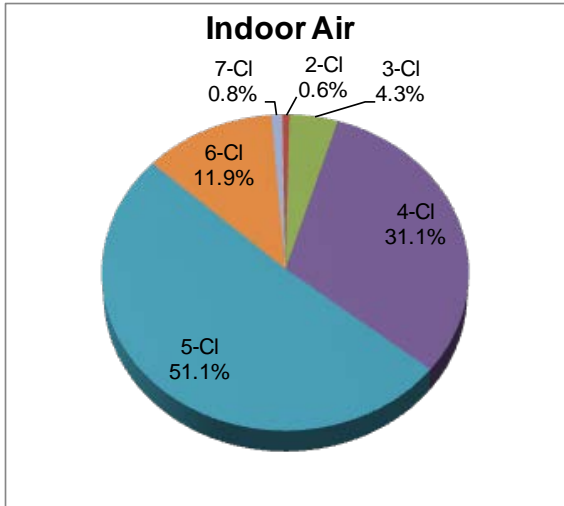
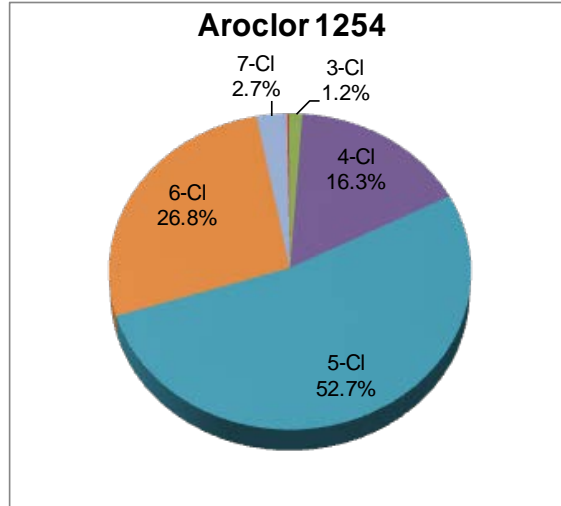
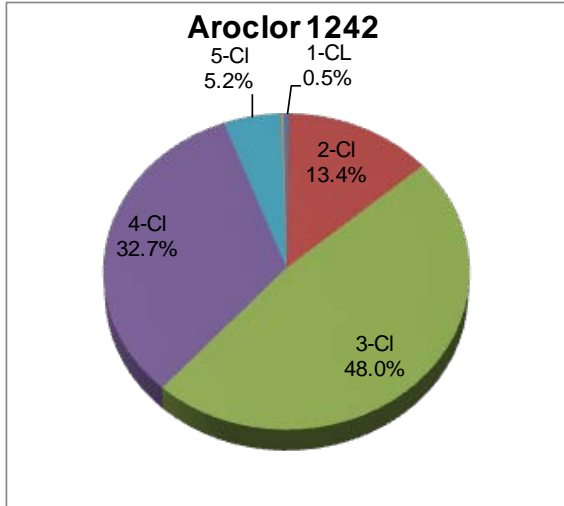
One School with Congener Measurements

Measurement	N	Units	Aroclor Analysis Mean	Congener Analysis Mean	% Difference
Indoor Air	7	ng/m ³	630	500	21
Surface Wipe	10	µg/100 cm ²	0.51	0.41	20
Indoor Dust	4	ppm	36	31	14
Exterior Caulk	3	ppm	143,000	114,000	20
Other Materials	18	ppm	47	37	22

Aroclor analyses for “weathered” indoor and outdoor PCB mixtures could be biased high or low depending on calibration approach.

Homolog Patterns – Aroclors, Indoor Air, Caulk

In One School with Congener Measurements



Compared to A1254, air is weighted towards more volatile congeners

Compared to A1254, caulk is weighted towards less volatile congeners

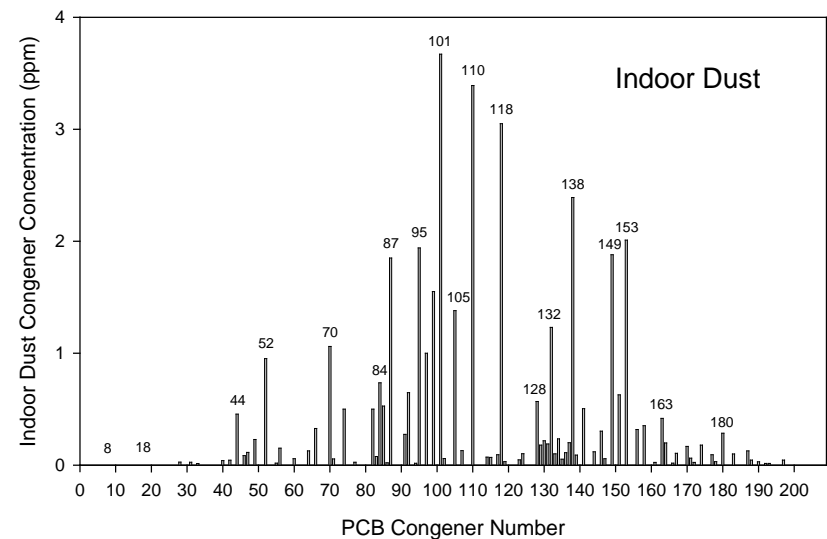
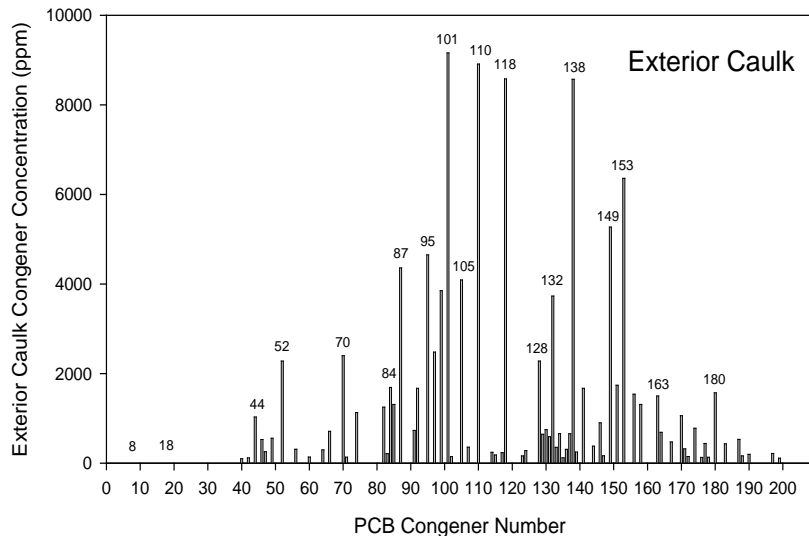
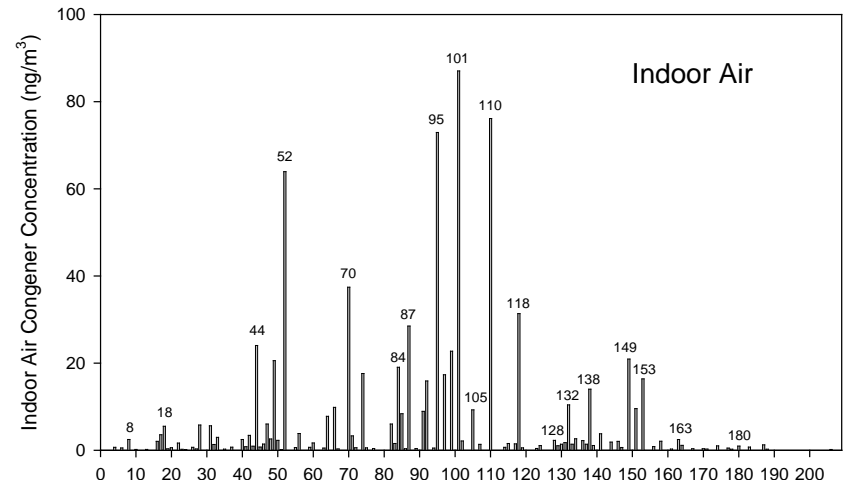
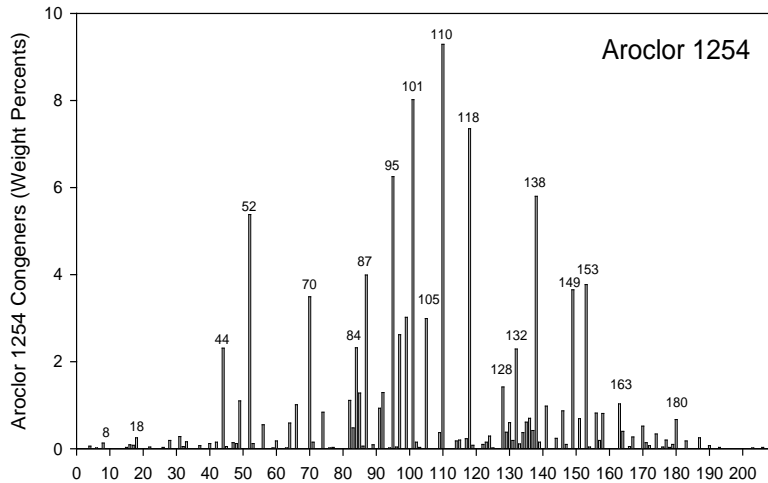
Air has higher levels of less volatile congeners than might be expected based on vapor emissions alone

May reflect air vapor + particle phase congeners

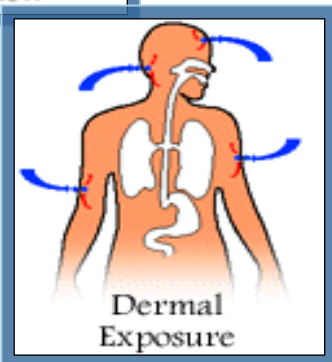
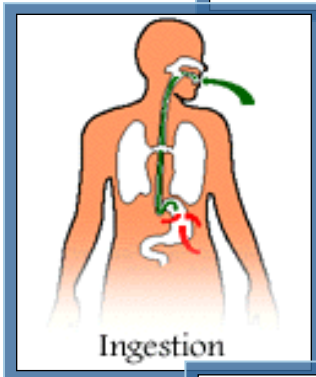
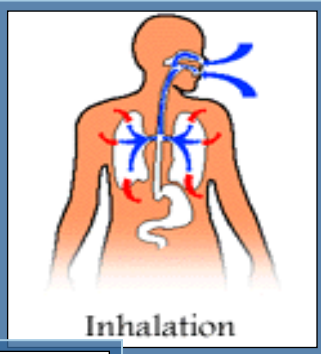
A1242 pattern is not reflected in these air samples

PCB Congener Concentrations & Patterns

In One School with Congener Measurements



Exposures to PCBs in the School Environment

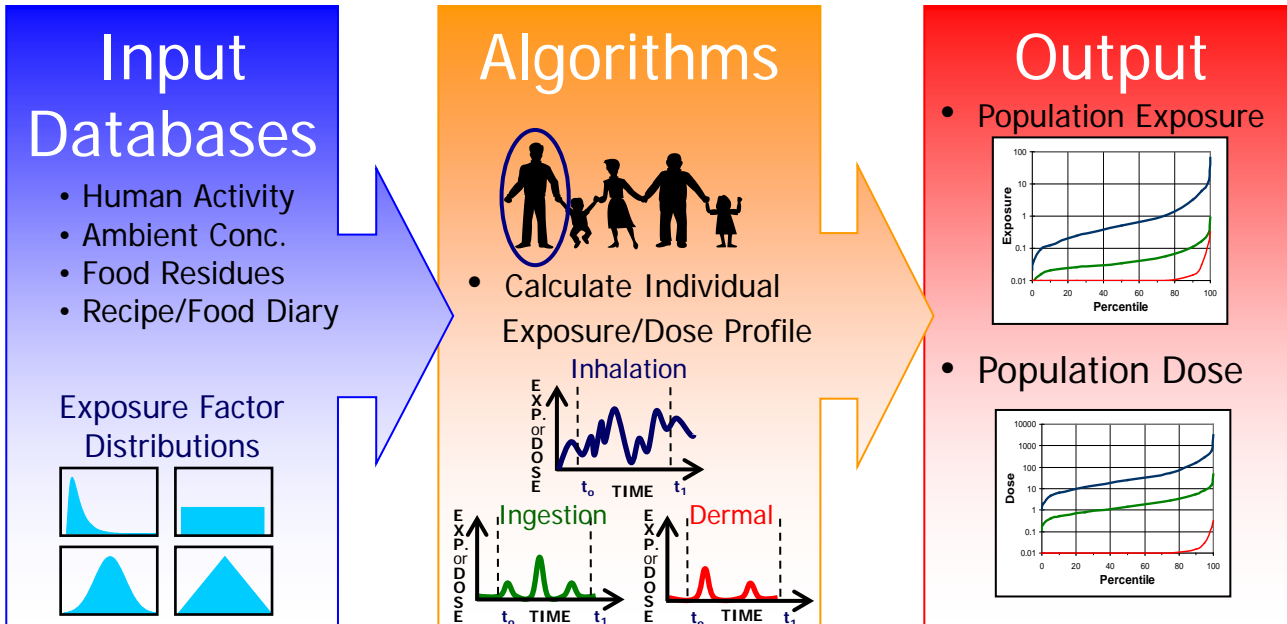


- Occupants in schools with interior PCB sources can be exposed to PCBs in the indoor air, dust, and on surfaces through their normal activities
- In school buildings with exterior PCB sources, exposures may occur through contact with contaminated soil
- Exposures can occur through inhalation, ingestion, and dermal contact

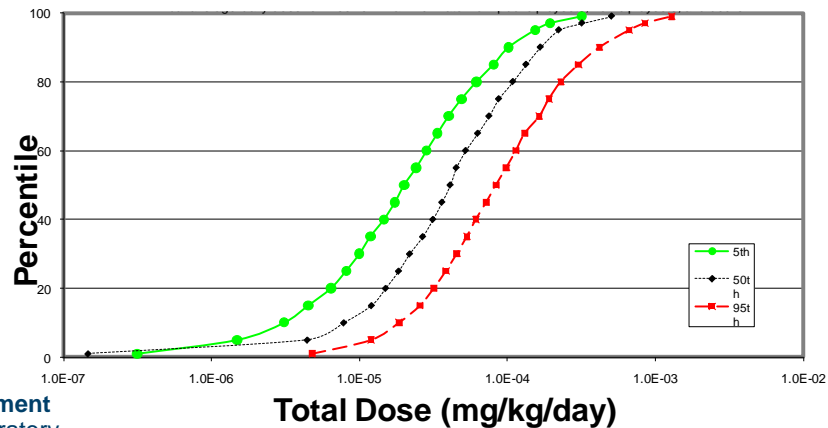


Figure from 2009 NIEHS L. Birnbaum presentation

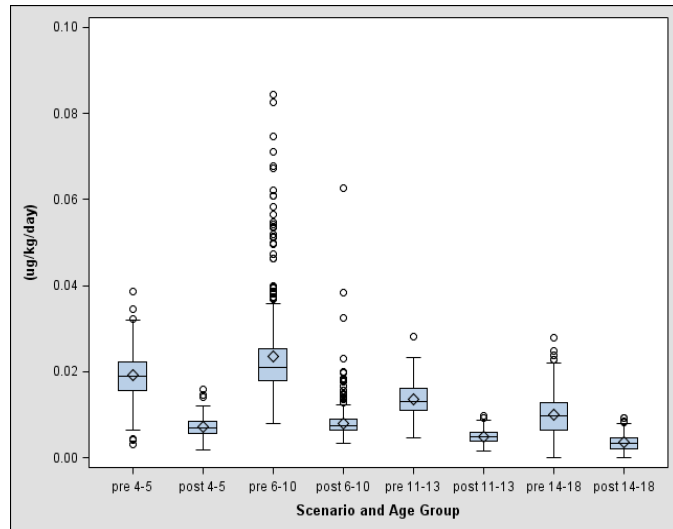
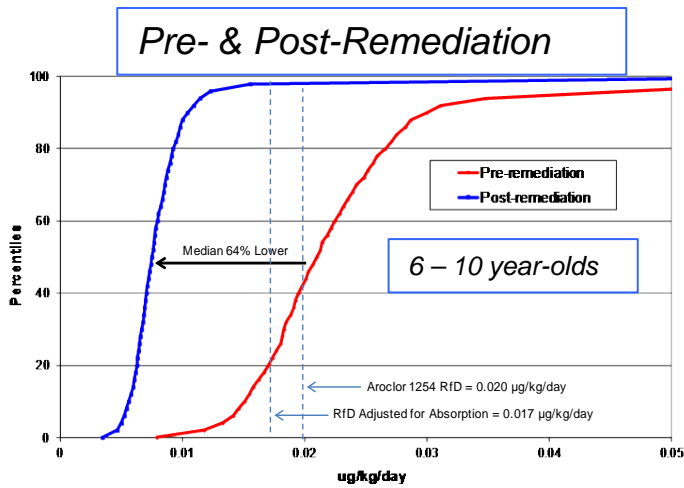
Stochastic Human Exposure and Dose Simulation Model



Example Distributions of Estimated Doses



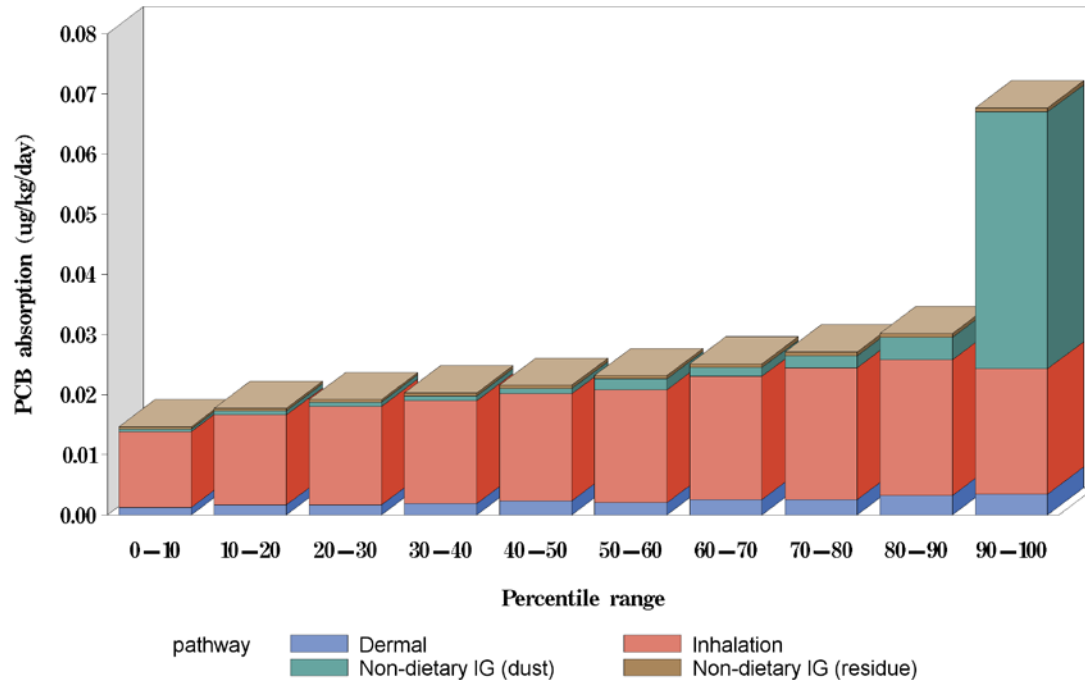
Exposures to PCBs in the School Environment



- An exposure model was used to estimate what exposures children might experience, using PCB levels measured across six schools
- Many children would be predicted to receive exposures above the EPA IRIS Reference Dose for Aroclor 1254
- With PCB levels measured following remediation efforts at several schools, most children would be predicted to receive exposures below the RfD
- *These exposure estimates do not include PCB exposures from diet or other sources away from school*

Exposures to PCBs in the School Environment

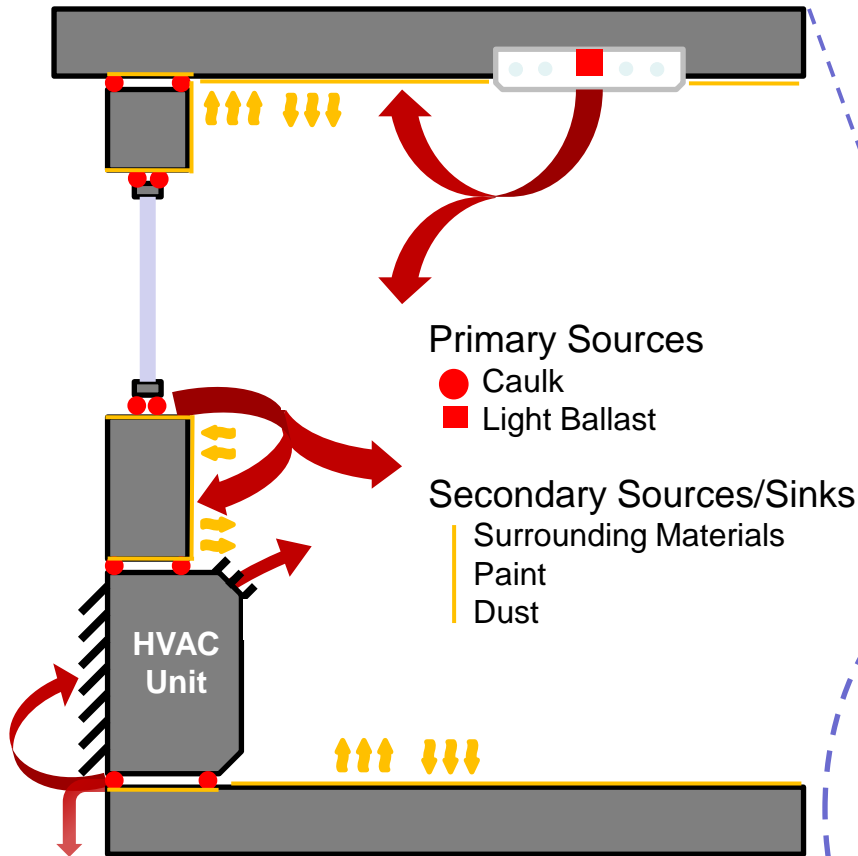
Estimation of PCB Dose From Different Pathways
(6 - 10 year olds; units: $\mu\text{g}/\text{kg day}^{-1}$)



- For the environmental levels found in the six schools, >70% of the exposure would be predicted to result from inhalation of PCBs in the school air
- Dust ingestion may also be an important route of exposure in some situations

PCBs - A Complex Problem in Buildings

Example Scenario



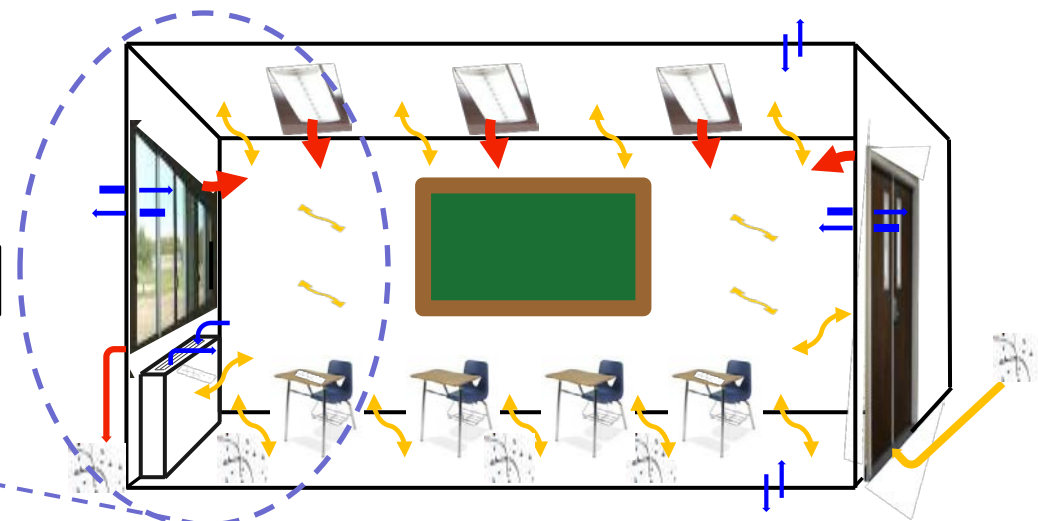
Primary Sources

- Caulk
- Light Ballast

Secondary Sources/Sinks

- | Surrounding Materials
- | Paint
- | Dust

- Over 100 PCB chemicals
- Multiple primary sources possible
- PCBs move from sources to air, surfaces, dust, soil
- Secondary sources are created
- Ventilation and temperature effects can be important
- Exposures through multiple pathways



- ↓ Ventilation
- ↓ Primary PCB Source
- ↘ Secondary PCB Sources and Sinks
- ☁ Dust/Soil

Research Limitations and Uncertainties

- Representativeness of schools tested is not known
- It is not known if results for schools apply to other types of buildings
- Relative importance of caulk and light ballasts as primary sources has been difficult to determine
- Impact of contaminated light fixtures has not been determined
- Other primary sources may be present in other school buildings (ceiling tile coatings, spray-on fireproofing)
- There are uncertainties in modeled emission, exposure, and dose estimates

Additional Information

EPA Information and Guidance: See “Additional Resources”

- Current best practices for minimizing exposures
- Public health levels for PCBs in indoor air
- PCBs in caulk
- PCB-Containing fluorescent light ballasts
- Testing, renovation, waste, regulations

Get Professional Advice and Information:

- Assessing and remediating PCBs in buildings can be challenging
- Contact your EPA PCB Coordinator
- Work with certified contractors experienced in PCB assessment and remediation in buildings

PCBs in Caulk in Older Buildings | Polychlorinated Biphenyls (PCBs) | US EPA

U.S. ENVIRONMENTAL PROTECTION AGENCY

Polychlorinated Biphenyls (PCBs)

Recent Additions | Contact Us Search: All EPA This Area

You are here: [EPA Home](#) > [Waste](#) > [Polychlorinated Biphenyls \(PCBs\)](#) > [PCBs in Caulk in Older Buildings](#)

PCBs in Caulk in Older Buildings

You will need Adobe Reader to view some of the files on this page. See [EPA's PDF page](#) to learn more.

Page Contents

- [Overview](#)
- [Background](#)
- [First Step: Take Steps to Minimize Exposure](#)
- [Testing](#)
- [Schools Information Kit](#)
- [Information for Contractors Working in Older Buildings](#)
- [Additional Information](#)
- [Where Can I Get More Information?](#)

Overview

In recent years, EPA has learned that caulk containing potentially harmful [polychlorinated biphenyls \(PCBs\)](#) was used in many buildings, including schools, in the 1950s through the 1970s. Most schools and buildings built after 1979 do not contain PCBs in caulk. On September 25, 2009, EPA announced new guidance for school administrators and building managers with important information about managing PCBs in caulk and tools to help minimize possible exposure. Through [EPA PCB Regional Coordinators](#), the Agency will also assist communities in identifying potential problems and, if necessary, developing plans for PCB testing and removal.

For more information:

- [PCBs in Caulk Fact Sheet \(PDF\)](#) (2 pp, 26K)
- [PCBs in Caulk Frequent Questions \(PDF\)](#) (11 pp, 63K)

The EPA is conducting research to address several unresolved scientific questions that must be better understood to assess the magnitude of the problem of PCBs in caulk and identify the best long-term solutions. For example, the link between the concentrations of PCBs in caulk and PCBs in the air or dust is not well understood. The Agency is doing research to determine the sources and levels of PCBs in schools and to evaluate different strategies to reduce exposures. The results of this research will be used to provide further guidance to schools and building owners as they develop and implement long-term solutions. Read more about [Research on PCBs in Caulk](#).

EPA has calculated prudent public health levels that maintain PCB exposures below the "reference dose" – the amount of PCB exposure that EPA does not believe will cause harm. Read [Public Health Levels for PCBs in Indoor School Air](#). | [PDF version](#) (2 pp, 14K).

Background

Caulk is a flexible material used to seal gaps to make windows, door frames, masonry and joints in buildings and other structures watertight or airtight. At one time caulk was manufactured to contain PCBs because PCBs imparted flexibility.

PCBs in Caulk Hotline

For additional information call
1-888-835-5372

Highlights

PCB Guidance Reinterpretation

Important Resources

- [Find your EPA Regional PCB Coordinator](#)
- [Preventing Exposure to PCBs in Caulking Material \(PDF\)](#) (4 pp, 1.1MB) | [en Español \(PDF\)](#) (4 pp, 2.7 MB)
- [General information on PCBs in older schools and buildings \(PDF\)](#) (1 pp, 163K)
- [Schools checklist \(PDF\)](#) (1 pp, 414K)
- [Contractors Handling PCBs in Caulk During Renovation](#)
- [PCBs in School Research](#)
- [Public Health Levels for PCBs in Indoor School Air \(PDF\)](#) (2 pp, 14K)
- [Steps to Safe Renovation and Abatement of Buildings That Have PCB-Containing Caulk](#)

http://www.epa.gov/pcbinaulc/[2/7/2014 5:01:06 PM]

Additional Resources

U.S. EPA. Find your EPA Regional PCB Coordinator
<http://www.epa.gov/epawaste/hazard/tsd/pcbs/pubs/coordin.htm>

U.S. EPA. Current Best Practices for PCBs in Caulk Fact Sheet – Interim Measures for Assessing Risk and Taking Action to Reduce Exposures
<http://www.epa.gov/pcbsincaulk/caulkinterim.htm>

U.S. EPA. PCBs in Caulk in Older Buildings
<http://www.epa.gov/pcbsincaulk/>

U.S. EPA. PCB-Containing Fluorescent Light Ballasts (FLBs) in School Buildings; A Guide for School Administrators and Maintenance Personnel
<http://www.epa.gov/osw/hazard/tsd/pcbs/pubs/ballasts.htm>

U.S. EPA. Public Health Levels for PCBs in Indoor School Air
<http://epa.gov/pcbsincaulk/maxconcentrations.htm>

U.S. EPA. Current Best Practices for PCBs in Caulk Fact Sheet – Removal and Clean-Up of PCBs in Caulk and PCB-Contaminated Soil and Building Materials
<http://www.epa.gov/pcbsincaulk/caulkremoval.htm>

U.S. EPA. Current Best Practices for PCBs in Caulk Fact Sheet – Testing in Buildings
<http://www.epa.gov/pcbsincaulk/caulktesting.htm>

Additional Resources

U.S. EPA. How to Test for PCBs and Characterize Suspect Materials

<http://www.epa.gov/epawaste/hazard/tsd/pcbs/pubs/caulk/guide/guide-sect3.htm>

U.S. EPA. Steps to Safe Renovation and Abatement of Buildings that Have PCB-Containing Caulk

<http://www.epa.gov/epawaste/hazard/tsd/pcbs/pubs/caulk/guide/index.htm>

U.S. EPA. Contractors: Handling PCBs in Caulk During Renovation

<http://www.epa.gov/epawaste/hazard/tsd/pcbs/pubs/caulk/caulkcontractors.htm>

U.S. EPA. Management, Cleanup, and Disposal of PCB Wastes

<http://www.epa.gov/epawaste/hazard/tsd/pcbs/index.htm>

U.S. EPA. Fact Sheets for Schools and Teachers About PCB-Contaminated Caulk

<http://www.epa.gov/pcbsincaulk/caulkschoolkit.htm>

U.S. EPA. PCBs in Schools Research

<http://www.epa.gov/pcbsincaulk/caulkresearch.htm>

Acknowledgements

EPA Research Co-Authors

Jianping Xue	ORD NERL
Ron Williams	ORD NERL
Don Whitaker	ORD NERL
Paul Jones	ORD NERL

EPA Key Contributors

Zhishi Guo	ORD NRMRL
Roy Fortmann	ORD NERL
Peter Egeghy	ORD NERL
Kimberly Tisa	Region 1
Dennis Santella	Region 2
James Haklar	Region 2
Mark Maddaloni	Region 2
Jackie McQueen	OSP

Contract Support

Tamira Cousett	Alion, Inc.
Carlton Witherspoon	Alion, Inc.
Keith Kronmiller	Alion, Inc.
Paulette Yongue	Alion, Inc.
NEA Pace Analytical Laboratory	

New York City

NYC School Construction Authority
TRC Engineers, Inc.