



## **Welcome to the CLU-IN Internet Seminar**

### **Nanotechnology: Implications and Applications**

Delivered: October 3, 2011, 1:00 PM - 3:00 PM, EDT (17:00-19:00 GMT)

*Presenters:*

*Dr. Ian Kennedy, UC Davis (imkenney@ucdavis.edu)*

*Dr. Donald Lucas, UC Berkeley and Lawrence Berkeley National Laboratory (d\_lucas@lbl.gov)*

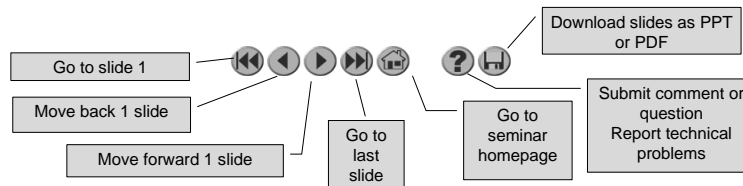
*Moderators:*

*Sarah T. Wilkinson, Superfund Research Program, University of Arizona (wilkinso@pharmacy.arizona.edu)*

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# Housekeeping

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- Q&A
- Turn off any pop-up blockers
- Move through slides using # links on left or buttons



- This event is being recorded
- Archives accessed for free <http://clu.in.org/live/archive/>

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Although I'm sure that some of you have these rules memorized from previous CLU-IN events, let's run through them quickly for our new participants.

Please mute your phone lines during the seminar to minimize disruption and background noise. If you do not have a mute button, press \*6 to mute #6 to unmute your lines at anytime. Also, please do NOT put this call on hold as this may bring delightful, but unwanted background music over the lines and interrupt the seminar.

You should note that throughout the seminar, we will ask for your feedback. You do not need to wait for Q&A breaks to ask questions or provide comments. To submit comments/questions and report technical problems, please use the ? Icon at the top of your screen. You can move forward/backward in the slides by using the single arrow buttons (left moves back 1 slide, right moves advances 1 slide). The double arrowed buttons will take you to 1<sup>st</sup> and last slides respectively. You may also advance to any slide using the numbered links that appear on the left side of your screen. The button with a house icon will take you back to main seminar page which displays our agenda, speaker information, links to the slides and additional resources. Lastly, the button with a computer disc can be used to download and save today's presentation materials.

With that, please move to slide 3.

# Risk of exposure to metal nanoparticles

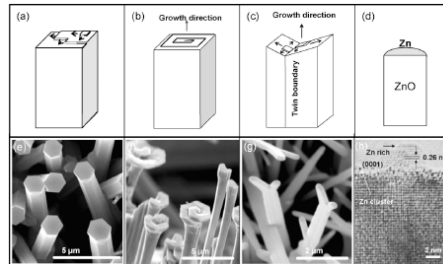
Engineering for toxicology

Ian M. Kennedy  
Department of Mechanical and Aerospace  
Engineering  
University of California Davis

# Applications of engineered metal oxide nanoparticles

- gas sensors (for example tin oxide)
- platform for biosensors
- solar energy
- cancer therapy via hyperthermia
- MRI contrast agents
- clean up of contaminated water (for example iron)
- catalysts for emission treatment on vehicles
- sunblock
- personal hygiene (nanosilver)

# New morphologies



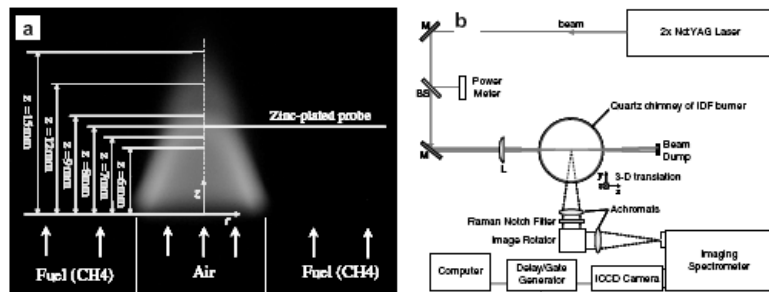
ZINC OXIDE

from Wang et al, Mat. Sci. Eng. 60, p.1 (2008)

# Production of metal oxide nanoparticles

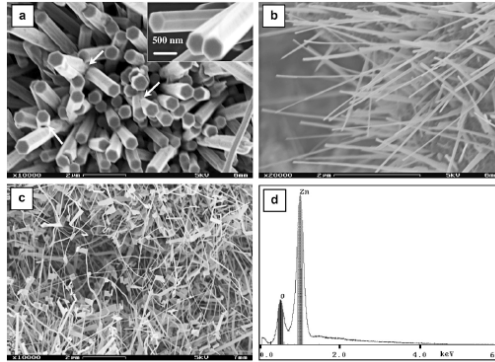
- solution methods
  - laser assisted growth
  - molecular beam epitaxy
  - aerosol methods
- furnace  
spray pyrolysis

# Flame synthesis



ZnO whiskers grown by flame  
 Xu et al., Chem. Phys. Lett. 449, p. 175 (2007)

# ZnO flame synthesis



XU ET AL., CHEM. PHYS. LETT. 449, P. 175 (2007)

Aerosol synthesis offers scalability and high production rates but also possibility of occupational exposures



# Risk

Risk = Exposure + Toxicity

## Exposure

Occupational and environmental  
Inhalation, ingestion and dermal

Fate and Transport in the environment

- Scavenging by other solid materials in water and soil
- Transformation in the environment eg dissolution, change in oxidation state

## Toxicity

Uptake and effect

# Research areas at UC Davis

- Nanoparticle toxicity with in vitro assays
- Zinc oxide nanoparticle dissolution study
- Mouse nanoparticle translocation
- Nanoparticle stability
- Arsenic remediation using iron oxide nanoparticles

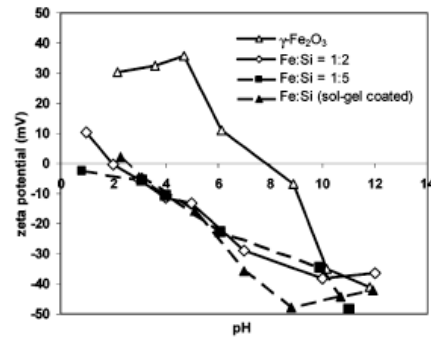
## Metal oxides - is composition important?

- ☐ surface charge
- ☐ pH at isoelectric point

SiO <sub>2</sub>	1.7 - 3.5
SnO <sub>2</sub>	4 - 5.5
Fe <sub>3</sub> O <sub>4</sub>	6.5 - 6.8
γ-Fe <sub>2</sub> O <sub>3</sub>	6.7 - 8
Y <sub>2</sub> O <sub>3</sub>	7.1 - 9
ZnO	8.7 - 10.3

## Metals oxides - is composition important?

- ☐ electrostatic attachment of proteins
- ☐ Li et al. examined BSA adsorption
- ☐ BSA attached via different domains to silica versus iron oxide
- ☐ Presents different protein corona to cells



FROM LI ET AL., CHEM. MAT. 18, P. 6403 (2006)

## **Metal oxides - is composition important?**

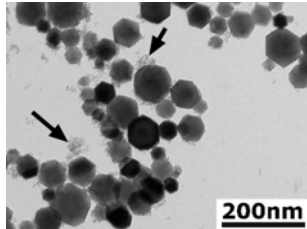
- ☐ Several metal oxide aerosols with similar sizes (40 to 60 nm) and surface area were generated by flame synthesis
- ☐ Delivered to human endothelial aortic cells in vitro
- ☐ Dose measured by ICP-MS
- ☐ Markers of inflammation measured via RT-PCR and proteins via ELISA

## BET Surface areas

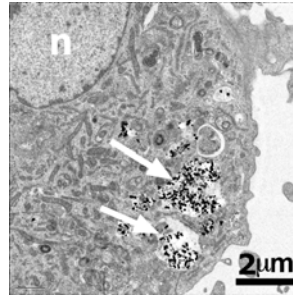
$\text{Fe}_2\text{O}_3$	$\text{Y}_2\text{O}_3$	ZnO
$\text{m}^2/\text{g}$	$\text{m}^2/\text{g}$	$\text{m}^2/\text{g}$
81	41	20

ZnO HAS THE SMALLEST SPECIFIC  
SURFACE AREA  
CERIA HAS ALSO BEEN STUDIED

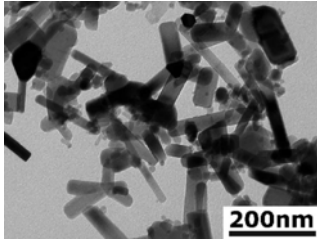
## Metal oxides and aortic cells



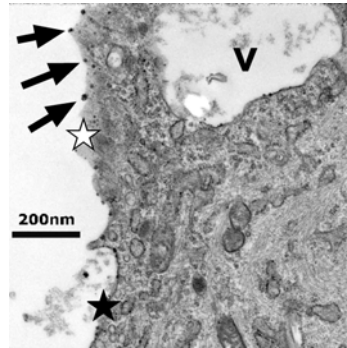
IRON OXIDE



## Metal oxides and aortic cells

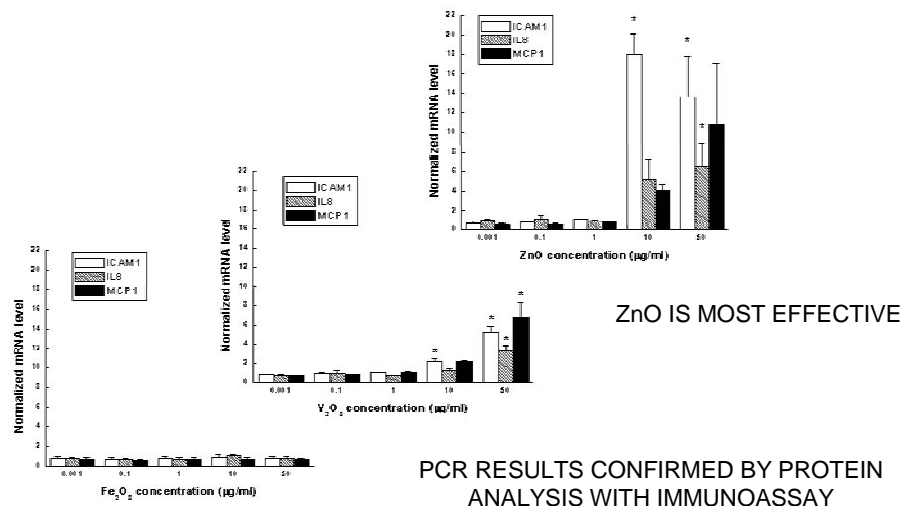


ZnO





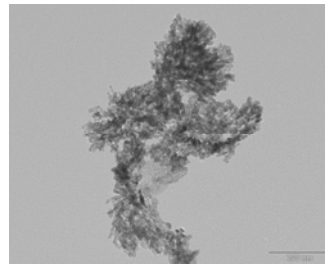
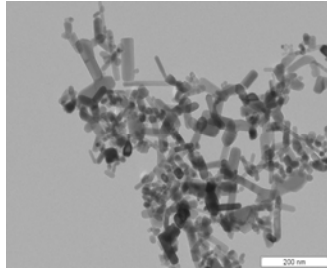
# PCR for markers of inflammation



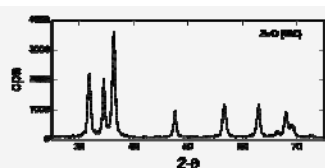
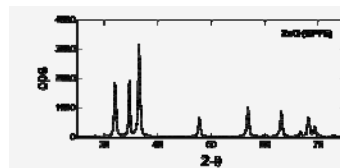
# Metal composition

- ☐ Nanoscale materials may be more soluble
- ☐ Yttrium oxide is slightly basic; ZnO is amphoteric (soluble in acids or bases)
- ☐ Particles may be taken up into lysosomes that are acidic
- ☐ ZnO was found to be readily dissolved at lysosomal pH
- ☐ Nano ceria was minimally effective in inducing inflammation

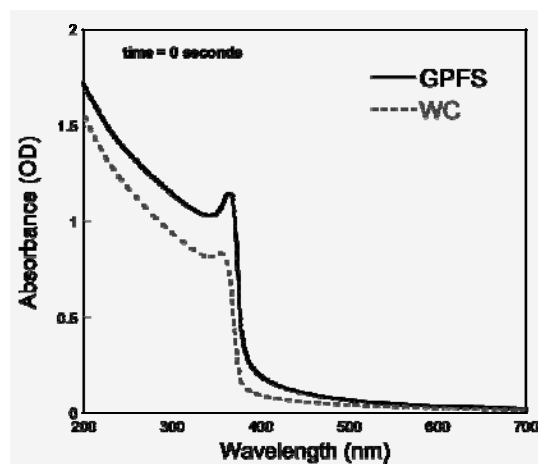
# ZnO solubility



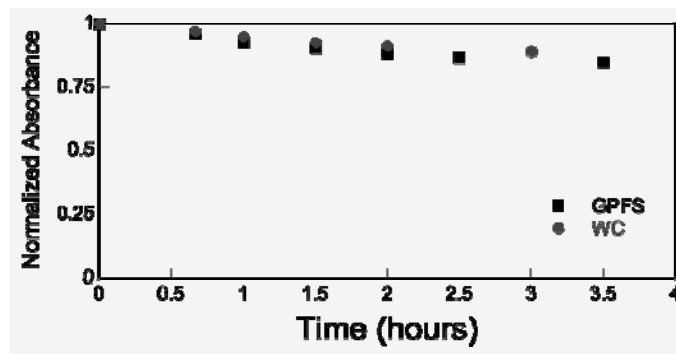
Flame synthesized      Solution synthesized



# Absorbance spectra



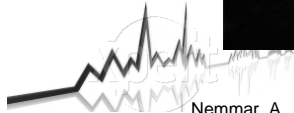
# Time course of absorbance



~ 20 % decrease in absorbance for both synthesis methods over a 48 hour period

# Translocation studies

- ☐ Following deposition, particles may be taken up by cells of the lung or cleared
- ☐ They may pass into the cardiovascular system
- ☐ Particles can travel along the olfactory nerve to the brain



Nemmar, A., et al. 2002. Passage of inhaled particles into the blood circulation in humans. *Circulation* 105:411–414.

G. OBERDORSTER, Z. SHARP, V. ATUDOREI, A. ELDER, R. GELEIN, W. KREYLING AND C. COX, *INHAL. TOX.* 16 (2004) 437-445.

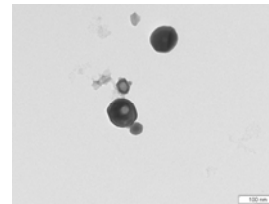
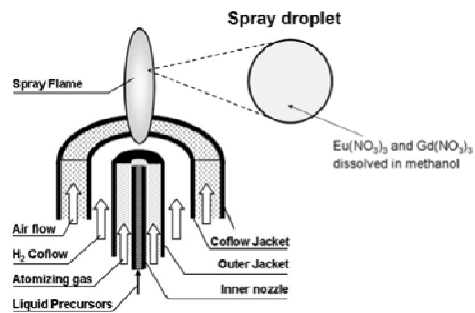


# Translocation studies

Goal: determine the fate of inhaled NP in the body

- Previous studies used radioactive tracers
  - Challenging to work with radioactive material
  - Additional toxicity of tracer to cells
- Use Lanthanide NP as tracer
  - Relatively non-toxic
  - Can be used as a fluorescent marker and with ICP-MS
  - Low natural concentrations

# Flame synthesis of lanthanide doped metal oxide NP



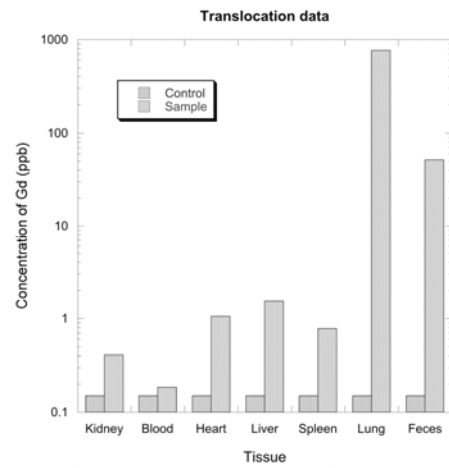


# Installation experiment

Lung instillation volume = 40  $\mu$ L  
NP concentration = 10mg/mL

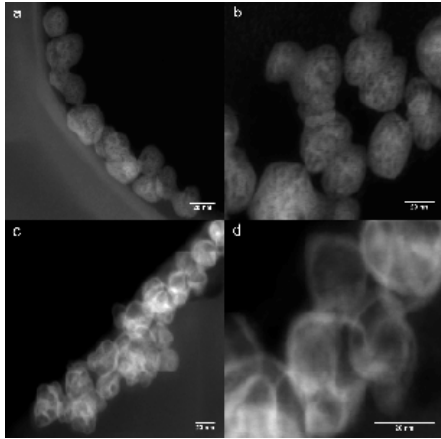


- NP solution is instilled in mouse lung
- Mice were necropsied at 24 hr time-point (n=3)
- The harvested tissues are digested in concentrated nitric acid
- The concentration of NP in each tissue is analyzed by ICP-MS



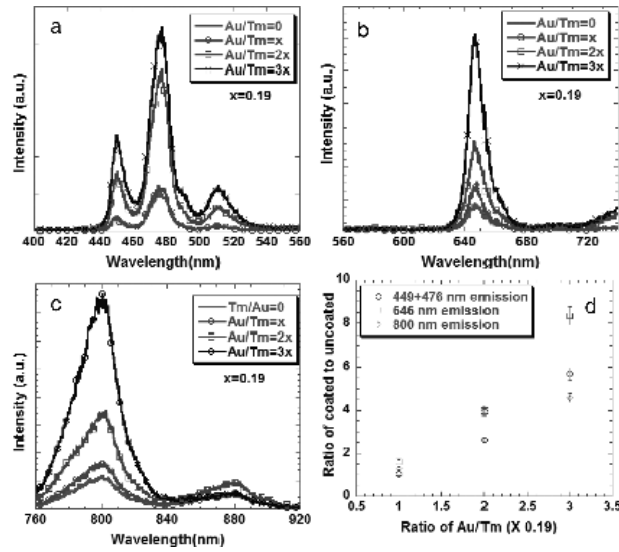
Results demonstrate that most of the particles are still in the lung or pass through the GI tract. Very low concentration of particles translocate to other organs. Mechanism might be different with an inhalation delivery of NPs or the time point before necropsy is extended to allow the transport of particles through the lung

# Translocation and imaging with novel core-shell nanoparticles



- Cores of lanthanide-based up-converting phosphor are coated with a thin layer of gold
- The coating enhances the up-converted emission significantly
- Wavelengths are ideal for imaging in tissues

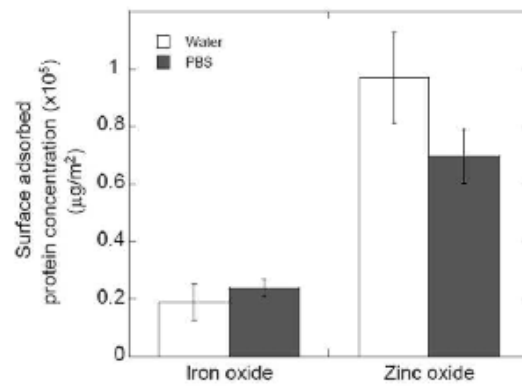
# Imaging



## Stability and toxicity

- DLS used to study aggregation kinetics of iron and zinc oxide NPs in water, PBS, and complete cell culture growth medium
- Bovine serum was found to stabilize NPs in growth medium
- Flow had a major impact on reducing aggregation
- Flow also had a major impact on cellular response to zinc oxide – oscillatory flow caused more inflammatory response than static or pulsatile flow

# Proteins adsorbed



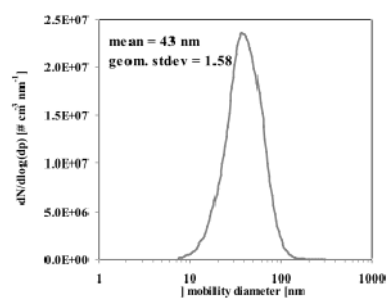
## Copper oxide toxicity in duckweed

- Duckweed is a common model for aquatic plant toxicity
- CuO nanoparticles synthesized by a flame aerosol route
- Added to plants
- Effect on growth compared to equivalent dose of soluble copper

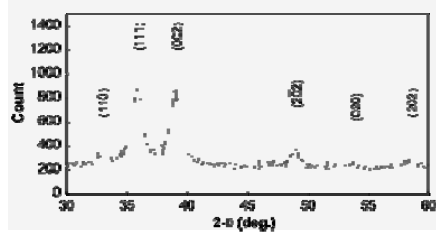
# CuO NP characterization

## SMPS

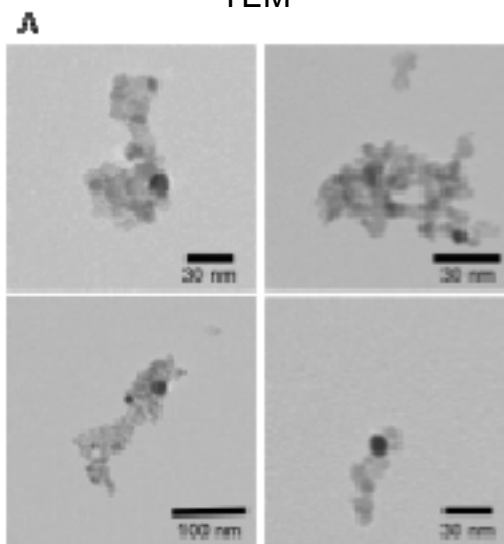
CuO Size Distribution



## XRD

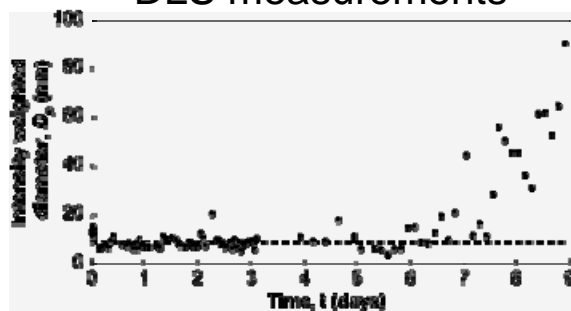


## TEM

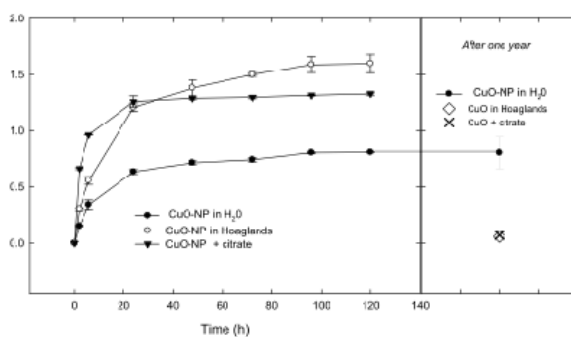




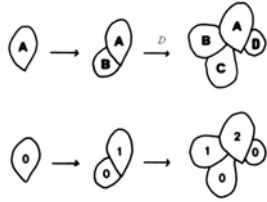
# NP stability in plant growth medium DLS measurements



Cu dissolution from  
10 ppm initial  
concentration

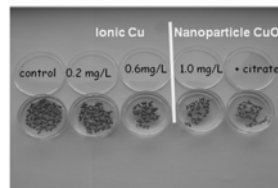


## Duckweed model

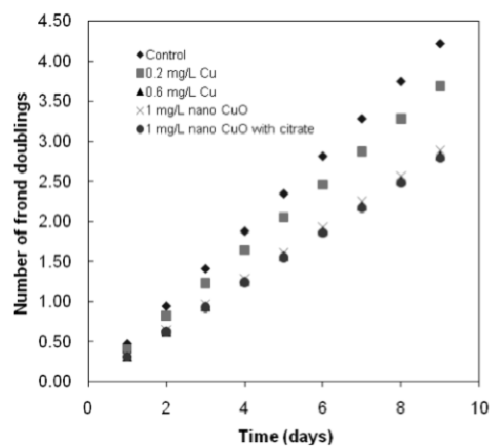
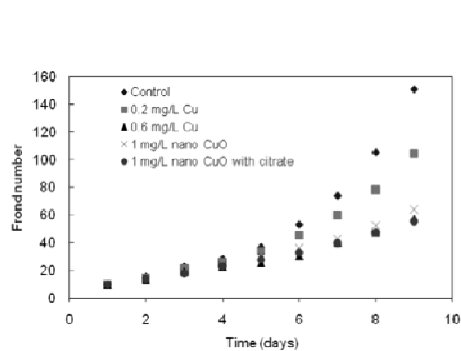


Doubling time for duckweed is known – growth inhibition can be modeled explicitly

Significant decrease in duck weed growth in the case of plants exposed to CuO nanoparticles



# Duckweed growth curves



## Key results

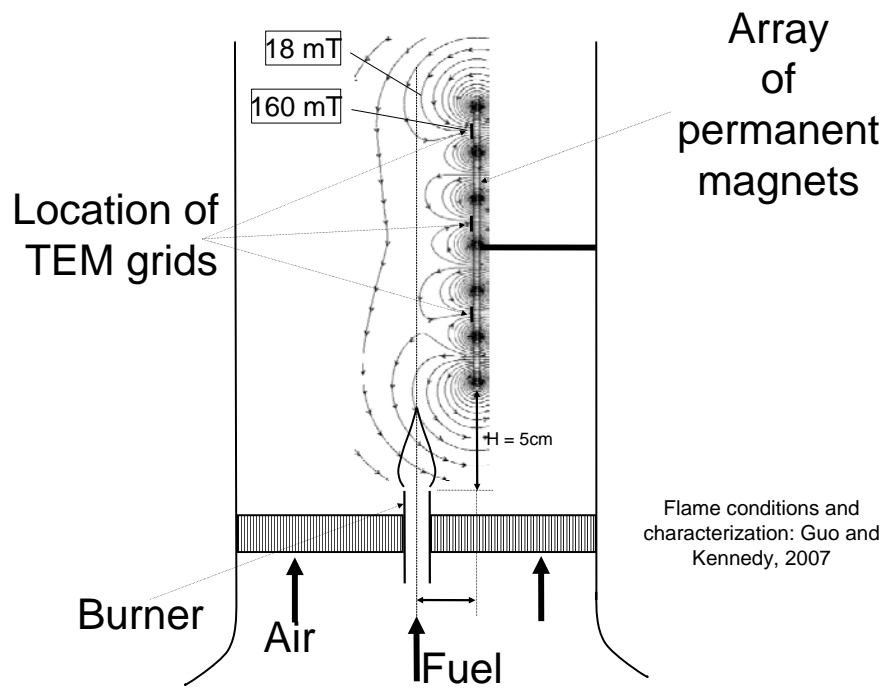
CuO nanoparticles were synthesized in a hydrogen diffusion flame

Cu from NP CuO into duckweed is three times more effective than Cu from soluble copper

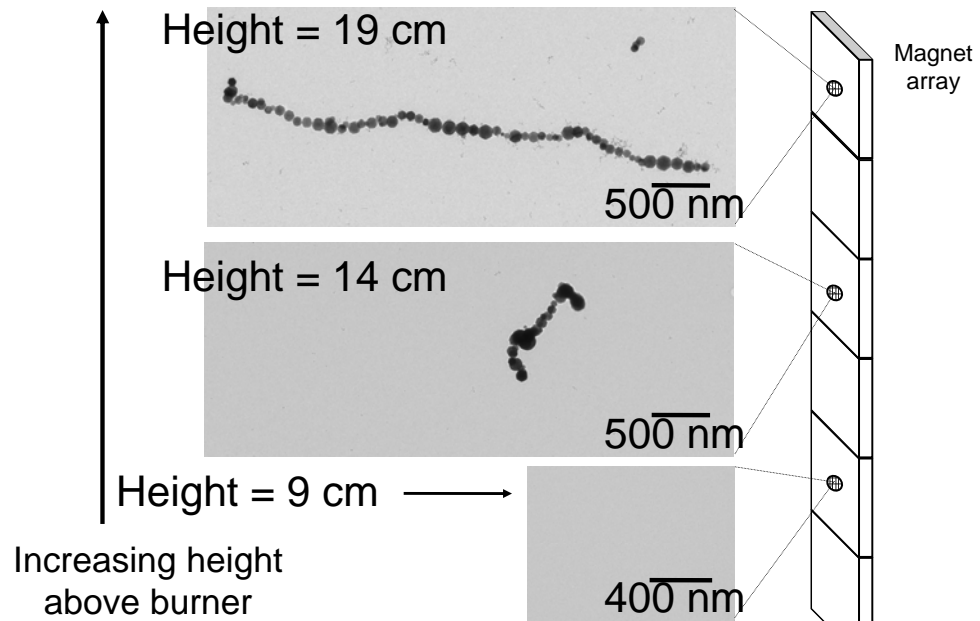
The large plant uptake of Cu from NP CuO suspension explains the inhibitory effects on growth and chlorophyll content

# Arsenic remediation using iron oxide NP chains

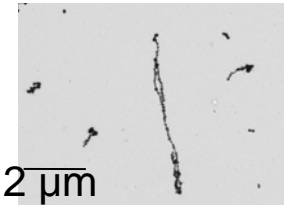
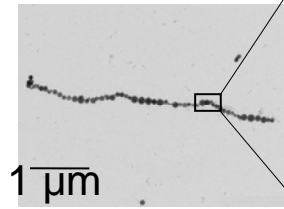
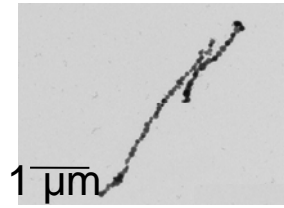
## Experimental setup



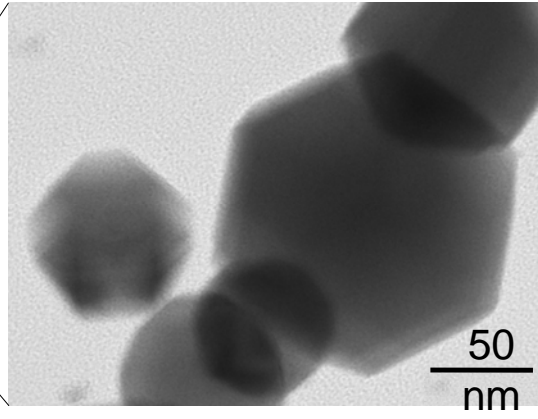
## Particle characterization (TEM) (1)



## Particle characterization (TEM) (2)

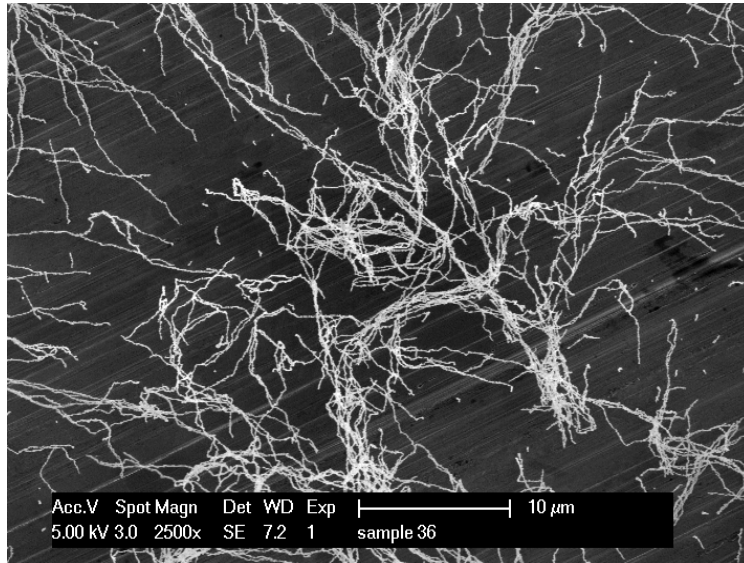


Height = 19 cm

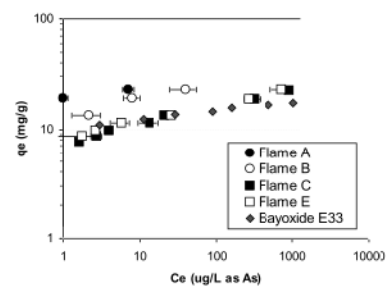
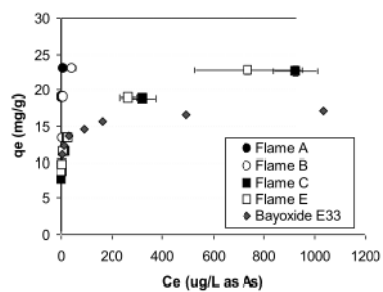




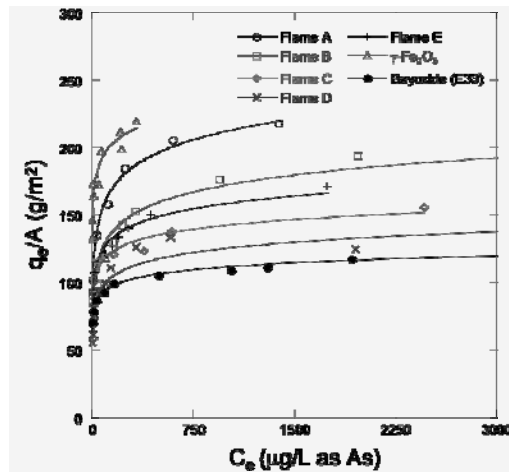
## Particle characterization (SEM)



# Arsenic adsorption isotherms



## As adsorption - surface area normalized



Oxidation state of the Fe plays a role

# Nanomaterials as Environmental Sensors



Donald Lucas

Lawrence Berkeley National Laboratory  
*EPA Web Oct. 3, 2011*

# Periodic Table of the Elements

ERNEST ORLANDO LAWRENCE  
BERKELEY NATIONAL LABORATORY

*Steve 3/20/2009*

1 H Hydrogen	2 He Helium																
3 Li Lithium	4 Be Beryllium	5 B Boron	6 C Carbon	7 N Nitrogen	8 O Oxygen	9 F Fluorine	10 Ne Neon										
11 Na Sodium	12 Mg Magnesium	13 Al Aluminum	14 Si Silicon	15 P Phosphorus	16 S Sulfur	17 Cl Chlorine	18 Ar Argon										
19 K Potassium	20 Ca Calcium	21 Sc Scandium	22 Ti Titanium	23 V Vanadium	24 Cr Chromium	25 Mn Manganese	26 Fe Iron	27 Co Cobalt	28 Ni Nickel	29 Cu Copper	30 Zn Zinc	31 Ga Gallium	32 Ge Germanium	33 As Arsenic	34 Se Selenium	35 Br Bromine	36 Kr Krypton
37 Rb Rubidium	38 Sr Strontium	39 Y Yttrium	40 Zr Zirconium	41 Nb Niobium	42 Mo Molybdenum	43 Tc Technetium	44 Ru Ruthenium	45 Rh Rhodium	46 Pd Palladium	47 Ag Silver	48 Cd Cadmium	49 In Indium	50 Sn Tin	51 Sb Antimony	52 Te Tellurium	53 I Iodine	54 Xe Xenon
55 Cs Cesium	56 Ba Barium	57 La Lanthanum	58 Ce Cerium	59 Pr Praseodymium	60 Nd Neodymium	61 Pm Promethium	62 Sm Samarium	63 Eu Europium	64 Gd Gadolinium	65 Tb Terbium	66 Dy Dysprosium	67 Ho Holmium	68 Er Erbium	69 Tm Thulium	70 Yb Ytterbium	71 Lu Lutetium	
87 Fr Francium	88 Ra Radium	89 Ac Actinium	90 Th Thorium	91 Pa Protactinium	92 U Uranium	93 Np Neptunium	94 Pu Plutonium	95 Am Americium	96 Cm Curium	97 Bk Berkelium	98 Cf Californium	99 Es Einsteinium	100 Fm Fermium	101 Md Mendelevium	102 No Nobelium	103 Lr Lawrencium	

atomic number  
14  
atomic weight  
28.09  
symbol  
Si  
Silicon  
black solid  
blue liquid  
red gas  
white synthetic  
grey prepared  
yellow stable isotope

- alkali metals
- alkaline earth metals
- transitional metals
- other metals
- nonmetals
- noble gases

Lanthanide series

Actinide series

58 Ce Cerium	59 Pr Praseodymium	60 Nd Neodymium	61 Pm Promethium	62 Sm Samarium	63 Eu Europium	64 Gd Gadolinium	65 Tb Terbium	66 Dy Dysprosium	67 Ho Holmium	68 Er Erbium	69 Tm Thulium	70 Yb Ytterbium	71 Lu Lutetium
90 Th Thorium	91 Pa Protactinium	92 U Uranium	93 Np Neptunium	94 Pu Plutonium	95 Am Americium	96 Cm Curium	97 Bk Berkelium	98 Cf Californium	99 Es Einsteinium	100 Fm Fermium	101 Md Mendelevium	102 No Nobelium	103 Lr Lawrencium

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Founded in 1931 by E. O. Lawrence:      invented cyclotron and “big science”

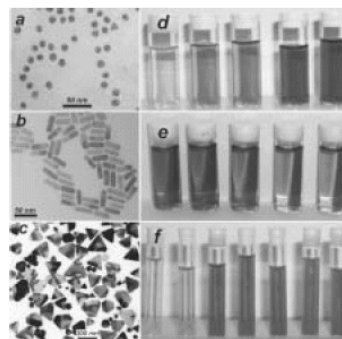
First U.S. National Laboratory  
11 Noble Prize Winners (plus 2008 Peace Prize)  
16 elements

4,200 employees, 2,500 researchers

No classified research

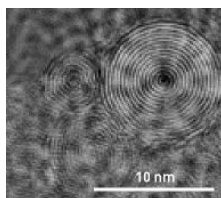
Elements discovered by Berkeley Lab physicists include astatine, neptunium, plutonium, curium, americium, berkelium\*, californium\*, einsteinium, fermium, mendelevium, nobelium, lawrencium\*, dubnium, and seaborgium\*. Those elements listed with asterisks (\*) are named after the Laboratory or some of its principal scientists.

# Nanoparticles are everywhere!

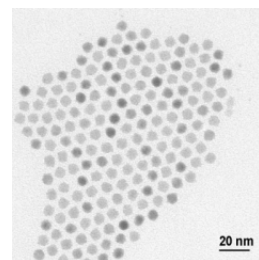
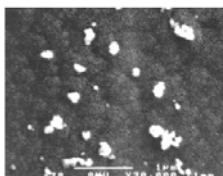
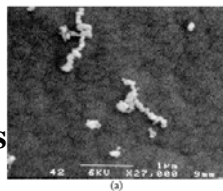


**Au and Ag nanoparticles  
and nanorods**

**NaCl before and after  
laser irradiation**



**Nano-onions**



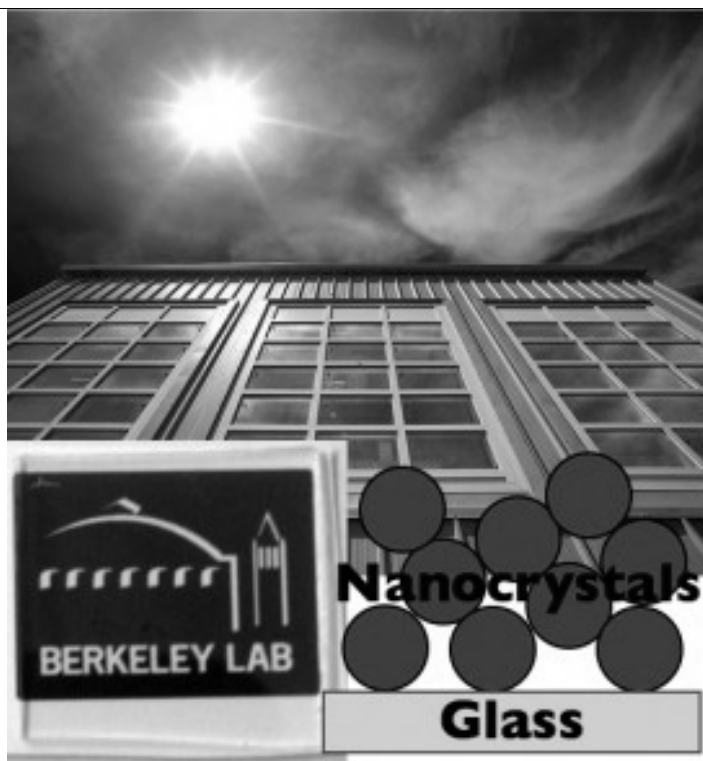
**PbSe**



**Cover Photo: C&E News  
May 1, 2006**

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**Firepit in Xuan Wei County, China**

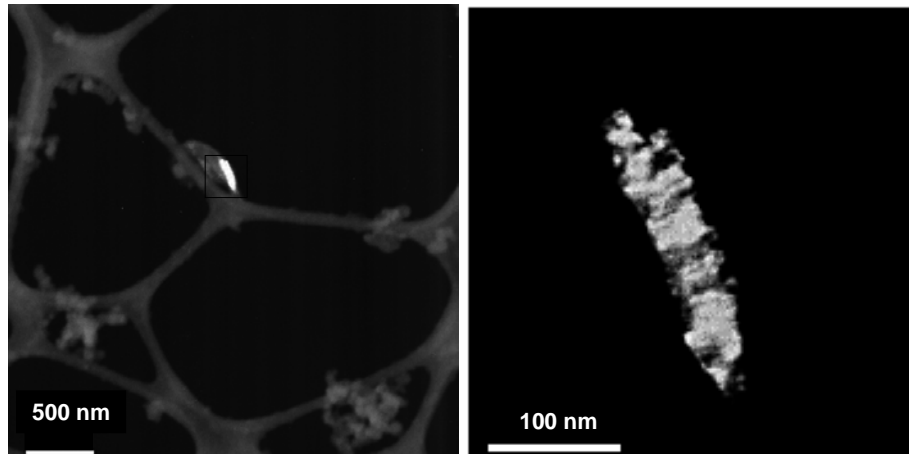
**Firepit in Hesse Hall, UC Berkeley**



**Humans have been  
exposed to  
nanoparticles  
for millennia!**

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## Microfibrous quartz in soot emissions?



50

Can those fibrous quartz in coal be emitted into air? The answer is positive.

--- Microfibrous quartz crystals were found in the soot particles from the coal linked to the highest lung cancer rate.

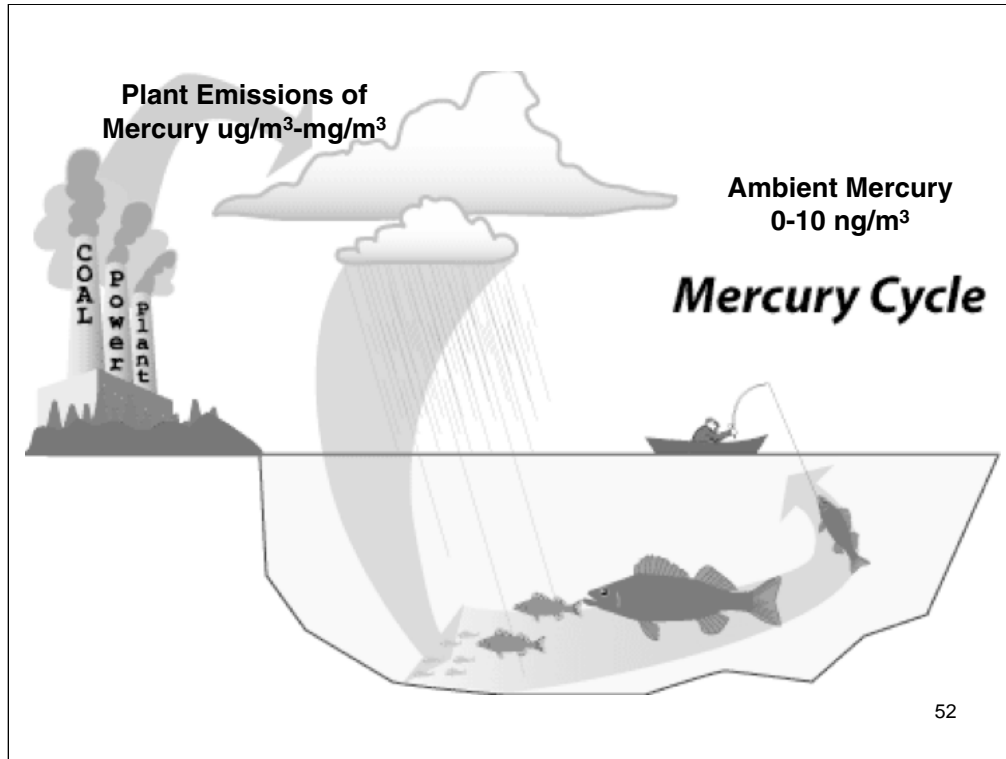
## **Metal detection with engineered nanomaterials**

### **Goals:**

- Cheap, reusable, simple method to detect metals in environmental samples (air or water)
- Can we achieve high sensitivity and selectivity with speciation?
- Can we develop something like pH paper for metals such as mercury and arsenic?

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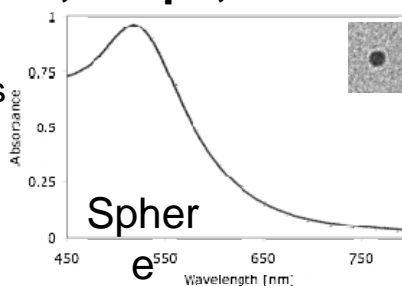
Amino propyl tri ethoxy silane



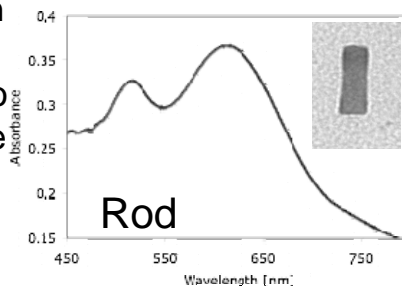
Mercury slide - health effects and such

## Nanoparticle color depends on their composition, shape, and size

Metal nanoparticles exhibit vibrant colors depending on their shape, size, and composition.

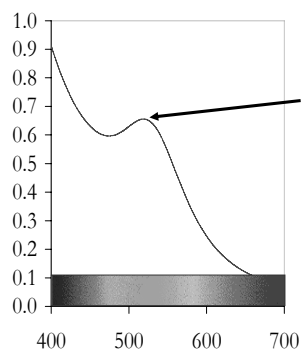


Collective oscillation of conduction electrons give rise to the localized surface plasmon resonance (LSPR) peaks



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## Mercury detector using gold nanospheres



Surface plasmon resonance shifts when Hg bonds to isolated gold nanoparticles. A few Hg atoms in nanoparticle produces measureable shift

Ammino propyl tri ethoxy silane

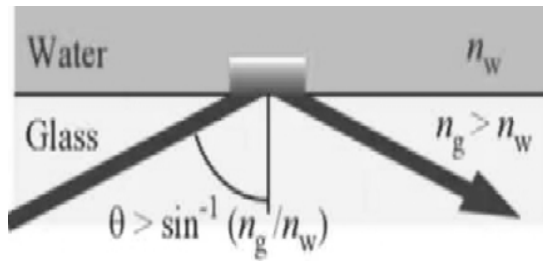


## **Over-the-counter Colloidal Gold Solution**

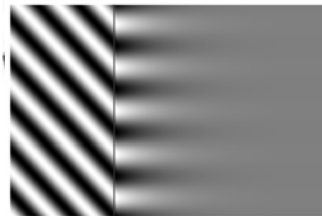
### **Claims:**

- 3.2 nm gold particles
- Non-toxic to humans
- 1 Tablespoon dose recommended, but power users can take 6-8!

# Evanescent Waves



gebeugte Welle



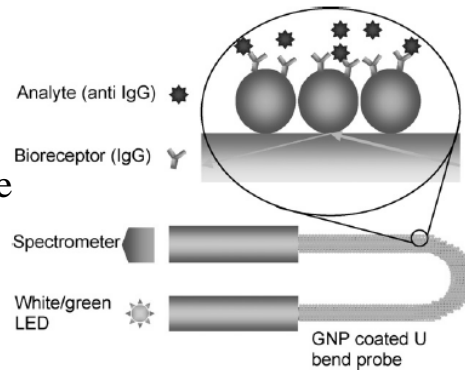
evanescente Welle  
(der totalreflektierte Anteil wurde  
nicht dargestellt)

56



## Evanescent Sensors

- Biological sensor
- Detects change in refractive index
- Dependent on surface treatment

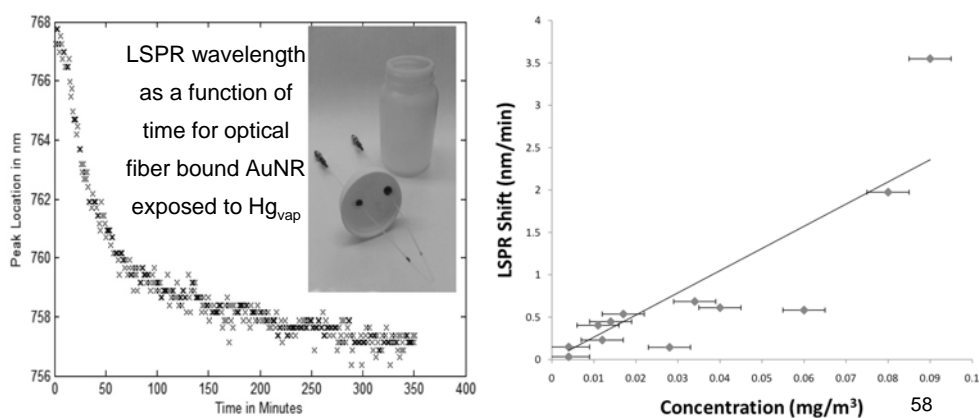


Scheme 1. Optical set-up and sensing scheme used for experiments with U-bent fiber optic probe.

V.V.R Sai, T. Kundu, S. Murkerji 2009 in *Biosensors and Bioelectronics*

## Optical-fiber/gold nanorod Hg sensing in action

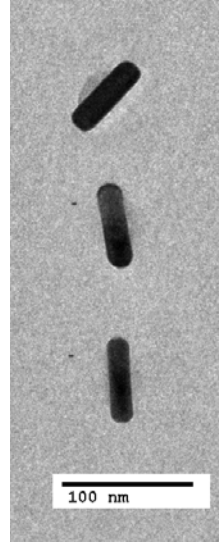
Films of gold nanoparticles exposed to mercury vapor show LSPR peak wavelength shifts of up to 20 nm and can be tracked with 0.2 nm wavelength and msec time resolution



## Single gold nanorod $\text{Hg}_{\text{vapor}}$ adsorption and detection

Individual particle studies have a number of advantages:

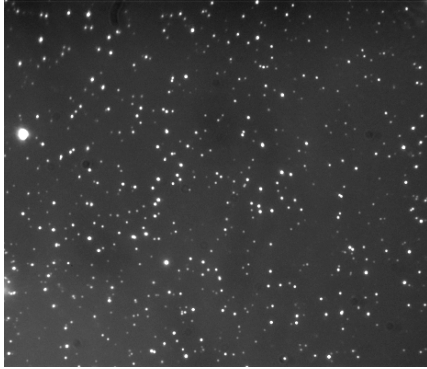
- Isolated AuNR LSPR depends on shape, size, environment and composition, but film LSPR also depends on the relative position of the particles
- Investigation of distinct shape and size effects can be executed in parallel



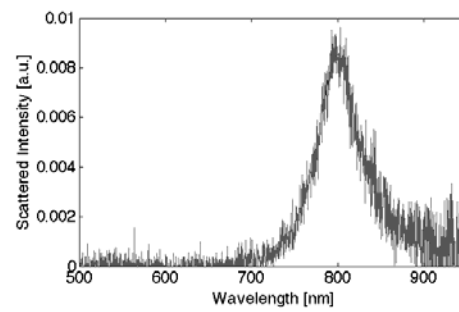
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## Optical characterization of single gold nanorods (AuNR)

Dark field image



Single AuNR scattered spectrum

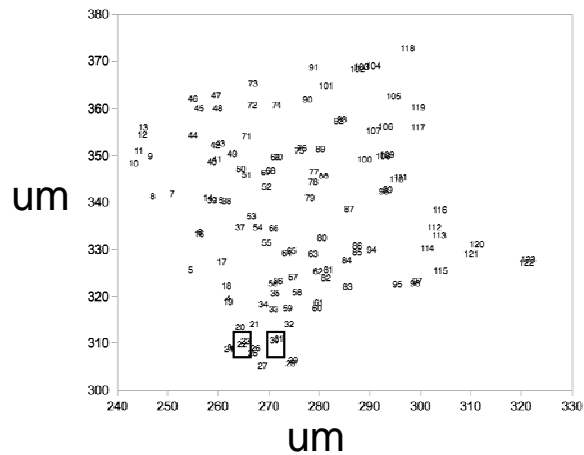


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Scale bar on df map, single image just as good , or a series where you show image, circle spot and then show spec

## Imaging and mapping gold nanorods with electron microscopy

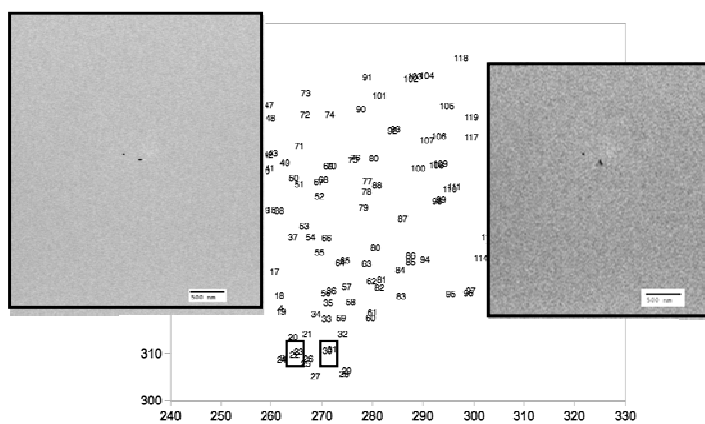
Each image taken in the transmission electron microscope records the stage location



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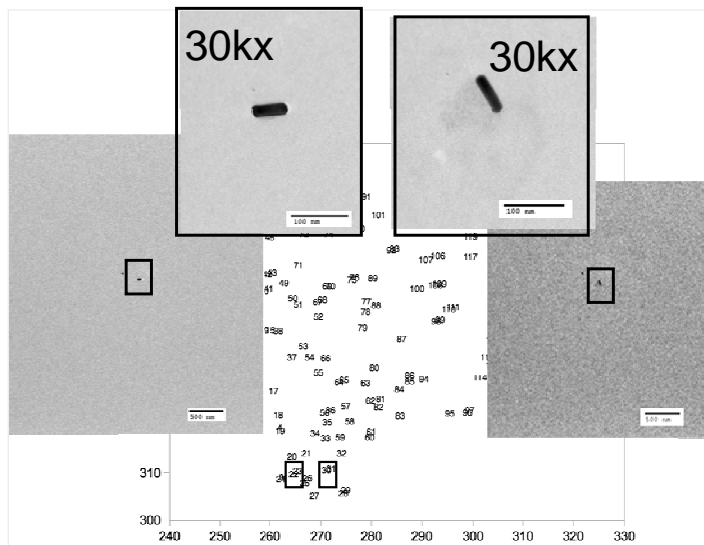
## Imaging and mapping gold nanorods

Scanning at 4kx  
magnification to locate  
AuNRs



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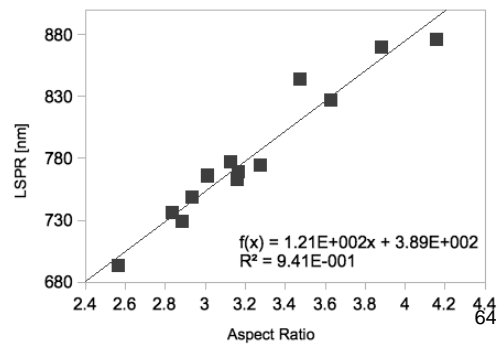
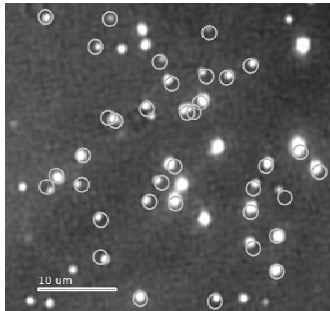
## Imaging and mapping gold nanorods



63

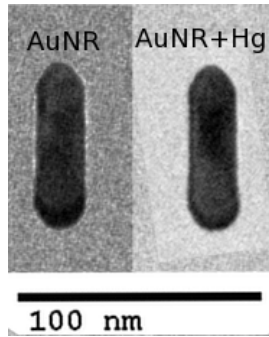
## Combining electron microscope and optical data

- TEM stage map points (rings) coincide with the bright spots in the dark field image
- Plot of LSPR dependence on aspect ratio supports the accuracy of this method





## Transmission electron microscopy of saturated amalgam nanorods



After exposure to  $116 \text{ ug/m}^3$  of mercury vapor the LSPR peak of this particle shifted 3.5 nm

TEM imaging shows no significant shape or size change to the particles

Elemental analysis with energy dispersive x-ray spectroscopy (EDX) finds the composition to be  $x_{\text{Hg}} = 1.5 \%$

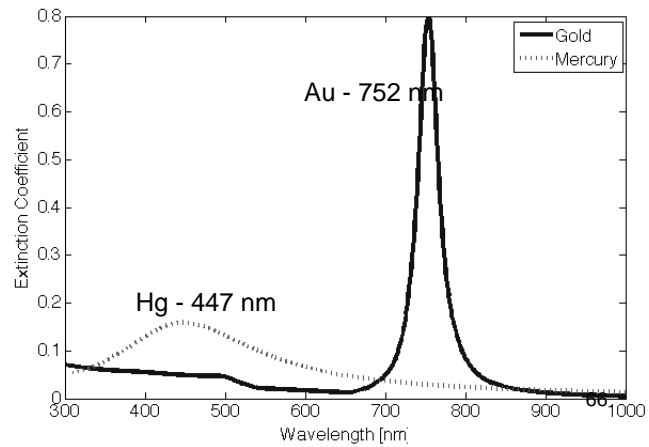
65

## Amalgam nanorod LSPR model

LSPR peak wavelength of alloy nanoparticles depends linearly on mole fraction (S. Link et al. 1999)

Gans theory predicts the extinction coefficient of a nanorod whose environment, shape, size, and dielectric function are known

For a 62 nm long, 20 nm diameter rod, our model predicts a composition of 1% Hg, 99% Au for a 3 nm shift



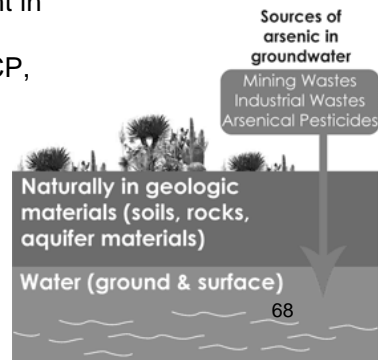
## **Significance of gold nanorod based mercury sensing**

- Individual gold nanorods have been shown to detect mercury at  $10 \text{ ug}_{\text{Hg}}/\text{m}^3_{\text{air}}$  (stack emission levels)
- Shifts of 3 nm correspond 4 attograms ( $4 \times 10^{-18}\text{g}$ ) of adsorbed mercury
- Nanoparticle surfaces collect mercury as well as bulk gold
- Spheres can be regenerated by gentle heating

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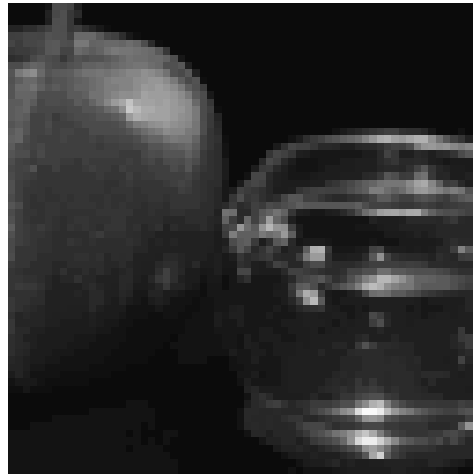
## Sensing of arsenic using engineered silver nanoparticles

- As contaminated groundwater: Bangladesh (20 - 50 million affected), India, Thailand, Taiwan, China, USA.
- Side effects: skin, lung, urinary bladder, and kidney cancers
  - > 150 ppb: significant increases in cancer mortality
- 10 ppb : WHO guidelines for max. As content in groundwater
- Current detection: Lab-based analyses – ICP, MS, HPLC-MS (<10 ppb)
  - What is needed:
    - **On-site detection**
    - **Availability in developing countries**



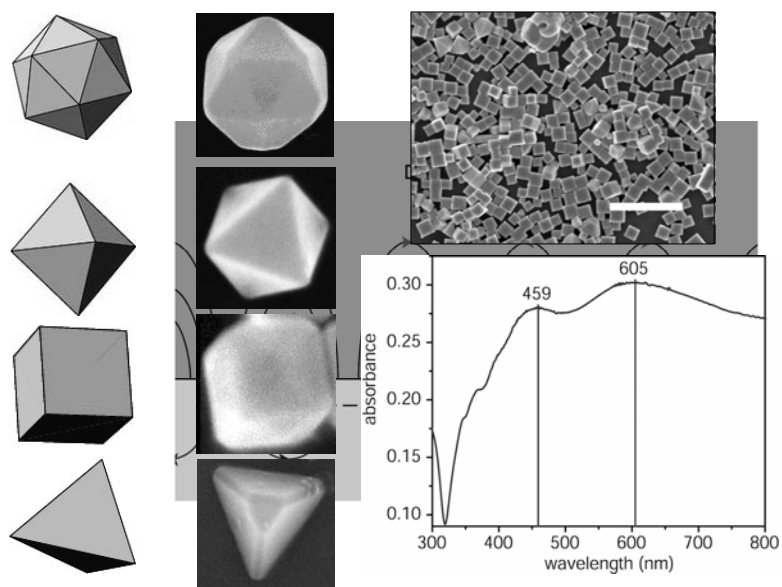
## **Dr. Oz accused of fear-mongering on apple juice (Arsenic in juice!)**

Thursday, September 15, 2011 (AP)  
By MARILYNN MARCHIONE,  
AP Medical Writer



Arsenic in apple juice! Fed to babies! And it probably came from China!  
Television's Dr. Mehmet Oz is under fire from the FDA and others for sounding what they say is a false alarm about the dangers of apple juice.

## Arsenic detection: shape-dependent plasmon resonances

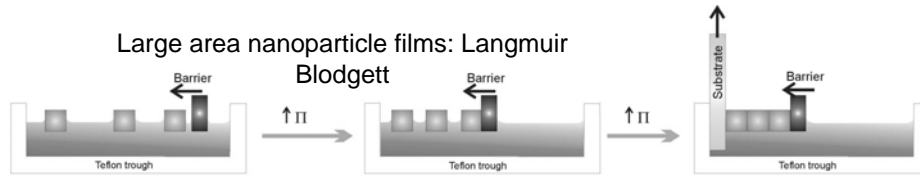


F. Kim et al. *Angew. Chem. Int. Ed.* 2004,43, 3673.

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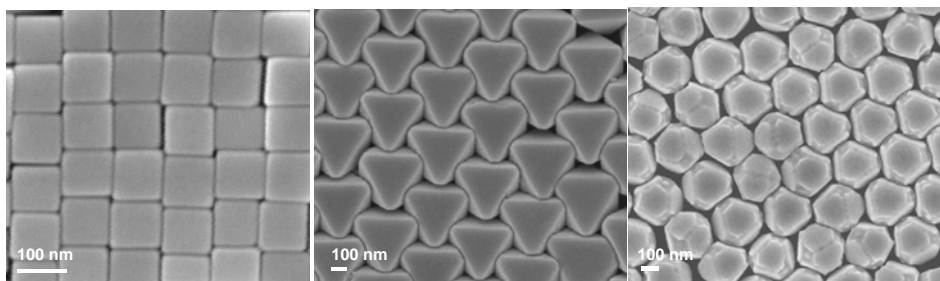
# Arsenic (As) Sensing by Ag nanoparticle films

Large area nanoparticle films: Langmuir  
Blodgett



—————>>>  
Increase in pressure

## Various LB Ag nanoparticle films



Cube

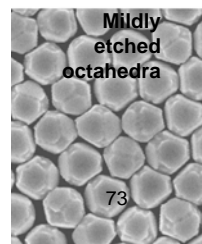
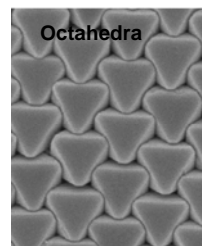
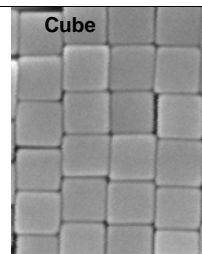
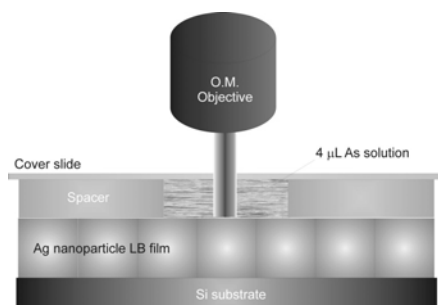
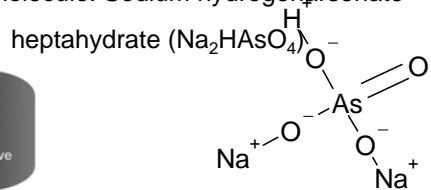
Octahedra

Mildly  
etched  
nanoparticle



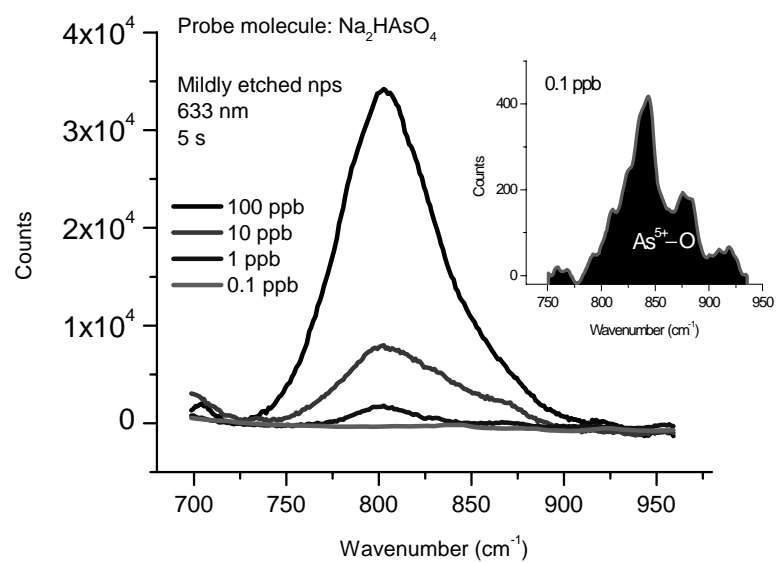
# Arsenic Sensing by Surface Enhanced Raman Spectroscopy (SERS)

Probe molecule: Sodium hydrogenarsenate

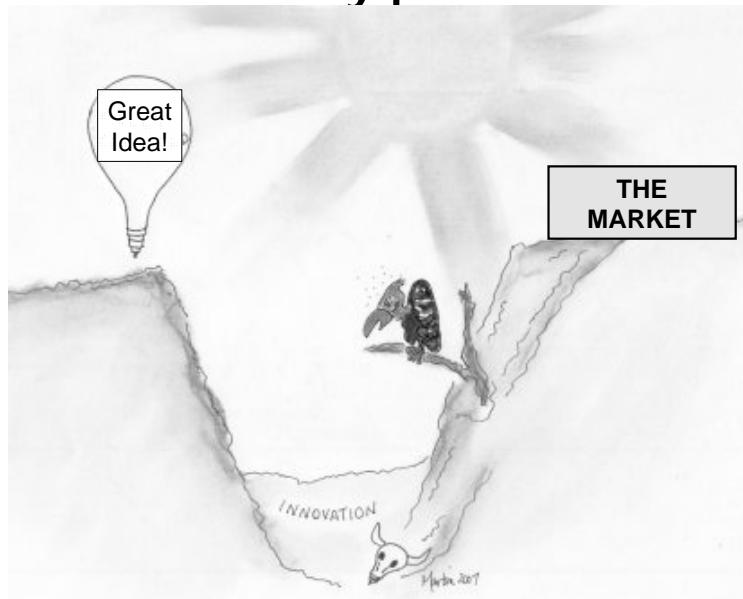


Target concentration		# molecules per laser beam volume	# molecules per volume
100 ppb	500 pM	5.50E+05	1.13E+12
10 ppb	50 pM	5.50E+04	1.13E+11
1 ppb	5 pM	5.50E+03	1.13E+10
0.1 ppb	0.5 pM	550	1.13E+09

## Arsenic species sensing

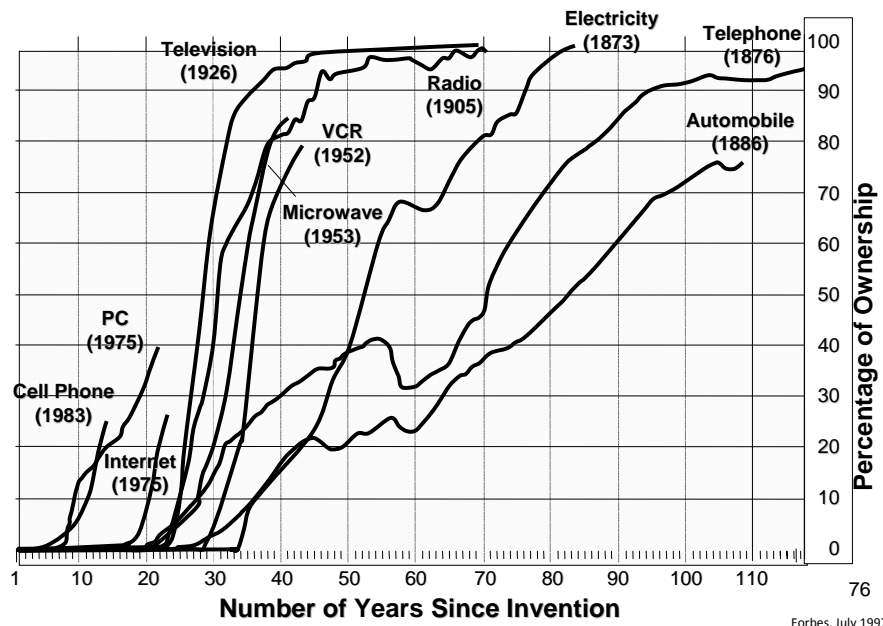


## Valley of death – lots of research, not many products!



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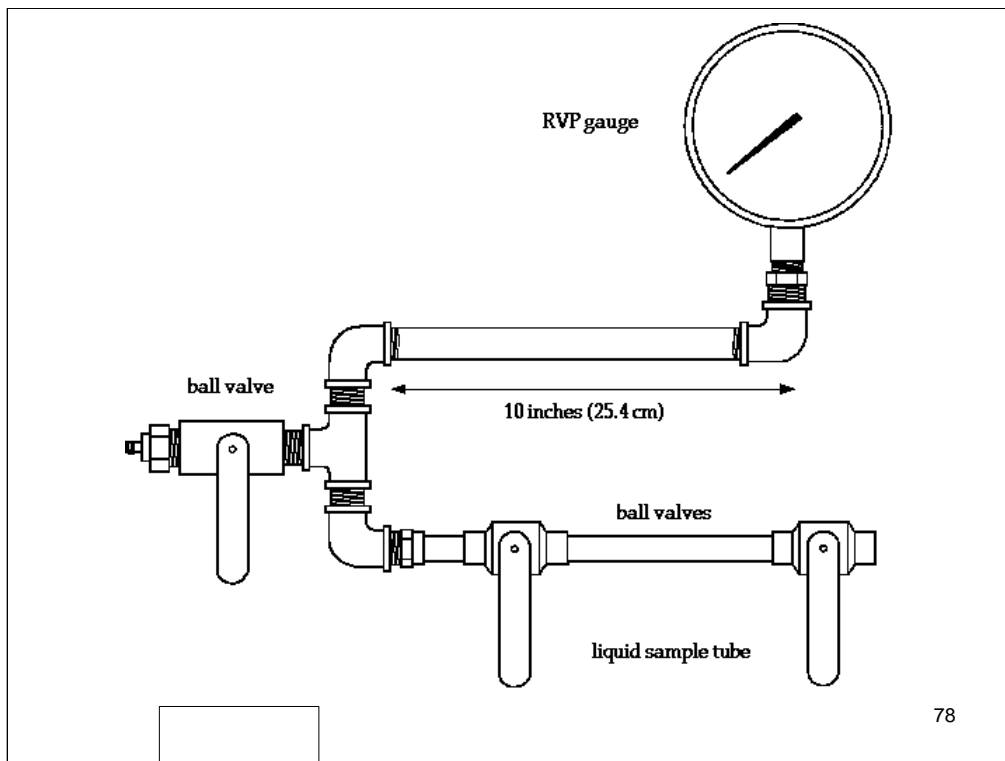
## Technology adoption over history



## Heavy oil storage tanks: Measuring emissions of hydrocarbons



- LBNL staff scientists worked with industry and regulators
  - New sampling methods developed, tested, and approved for use by industry and EPA
- Emissions much lower than previous estimates: industry avoided unneeded pollution control equipment; regulators have better emission inventories



## **Making a successful widget**

You need to have a market (think medical and consumer goods, not emissions monitors)

It can take a long time and lots of money for patents, product development, and marketing

Research scientists may not make the best choice for moving forward (researchers want to do more research!)

Basic research grants normally don't cover development costs

# Thanks!

- Cathy Koshland and Bob Sawyer
- Peidong Yang and Xing Yi Ling
- Linwei Tian, Amara Holder, and Regine Goth-Goldstein
- Jeff Crosby, Jay James, and David Littlejohn
- NIEHS, Wood-Calvert Chair in Engineering, NCI, WSPA, and DOE



# Resources & Feedback

- To view a complete list of resources for this seminar, please visit the **Additional Resources**
- Please complete the **Feedback Form** to help ensure events like this are offered in the future

The screenshot shows a feedback form titled "U.S. EPA Technical Support Project Engineering Forum Green Remediations Opening the Door to Field Use Session C (Green Remediation Tools and Examples) Seminar Feedback Form". The form includes fields for "First Name", "Last Name", "Email Address", and "Date of Seminar". There is a checkbox labeled "Please send a copy of my feedback confirmation as a record of my participation to this address". The form is part of the "Technology Innovation Program" and is hosted on the "U.S. EPA" website.

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Fill out the feedback form and check box for confirmation email.