Note: EPA is currently developing guidance to prepare an Institutional Control Implementation and Assurance Plan (ICIAP). This outline suggests the recommended contents of the ICIAP. The party tasked with preparing the ICIAP may use this outline as a guide to prepare the plan.

Table 1: Recommended Contents of an ICIAP

Report sections …	should include these topics when appropriate:
1.0 Introduction	☐ Entity that prepared the ICIAP ☐ Name and location of site requiring ICs ☐ Agency responsible for IC oversight

2.0 Site Details	☐ Site description
	  ▪ Site identification
	  ▪ Location
	  ▪ Site area
	☐ Site history
	  ▪ Previous site uses
	  ▪ Response action summary
	  ▪ IC requirements from decision document
	  ▪ Other information
	☐ Contaminants
	  ▪ Identify contaminants of concern (COC's)
	  ▪ Physical boundaries of affected resources
	☐ Current site information
	  ▪ Parcel ownership/occupancy information
	  ▪ Property interest and resource ownership
	  ▪ Current and anticipated future site land use
	  ▪ Responsible parties and other stakeholders
	  ▪ Local government information

3.0 Mapping	☐ Map or maps showing entire IC coverage area(s), including clear boundaries
	  ▪ Location of contamination
	  ▪ Location of engineering controls
	  ▪ Location of use restrictions

4.0 IC Instruments	☐ Key components of IC instruments
	  ▪ IC objectives
	  ▪ Use restrictions
	  ▪ Current and reasonably anticipated future land use(s)
	  ▪ IC instrument duration
	☐ Instrument categories
	  ▪ Proprietary controls
	  ▪ Government controls
	  ▪ Enforcement and permit tools with IC components
	  ▪ Informational devices
	☐ IC relationship matrix

5.0 IC Implementation	☐ Table showing, for each IC:
	  ▪ Instrument name
	  ▪ Instrument category
	  ▪ Instrument type
	  ▪ IC objectives/use restrictions
	  ▪ Implementation prerequisites
	  ▪ Implementation event
	  ▪ Implementation date
	  ▪ Person or organizations responsible for implementation
	  ▪ Instrument lifespan
	  ▪ Conditions for termination of IC

6.0 IC Maintenance	☐ Instrument monitoring
	  ▪ Transaction-related
	  ▪ Non-transaction related
	☐ Periodic IC assurance monitoring
	☐ Recordkeeping, reporting and notifications
	☐ Verifying IC effectiveness
	  ▪ IC implemented
	  ▪ IC effective
	  ▪ IC breach/deficiencies

7.0 IC Enforcement	☐ Triggering events for enforcement ☐ Overarching information needed
8.0 IC Modification & Termination	☐ Entity responsible for deciding whether modification may occur ☐ Entity responsible for deciding whether termination may occur ☐ Modification process ☐ Termination process (if applicable)