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America's Seed Fund: SBIR/STTR Funding Opportunities for Water Nanotechnologies

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America's Seed Fund:

Seeding innovation through U.S. small businesses

- Provides early-stage capital to support technology innovation and commercialization
- Two competitive award programs:
 - 1. Small Business Innovation Research (SBIR)
 - 2. Small Business Technology Transfer (STTR)
- Congressionally mandated: Federal agencies set aside small % of their R&D budget
- Coordinated by the SBA

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U.S. Small Business Administration

Participating federal agencies



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AMERICA'S SEED FUND Powered by

Department of National **Department of Department of** Commerce Science **Department of** Defense Agriculture Foundation (DOC) **Education (Ed)** (DOD) (USDA) (NSF) **NIST, NOAA** Dept of Health and National Aeronautics **Department of Department of Environmental** Human Services **Department of Energy** and Space **Homeland Security** Transportation **Protection Agency** (DOE) Administration (HHS) (DHS) (DOT) (EPA) (NASA) NIH, FDA, CDC, ACL



America's Seed Fund:

Seeding innovation through U.S. small businesses

Program goals:

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- Stimulate technological innovation
- Meet Federal R&D needs
- Increase private-sector commercialization of innovations derived from Federal R&D funding



• Encourage women and socially/economically disadvantaged individuals to participate in innovation and entrepreneurship



What is a Small Business?

- The company:
 - For-profit
 - U.S. owned/operated
 - Employ under 500 people
- The project
 - Work must be done in the U.S
 - Funds are for research and development





Program Structure: Three Phase Process

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Differences between SBIR and STTR

	SBIR	STTR
Partnering Requirement	Permits partnering	Requires a non-profit research institution partner
Principal Investigator	Primary employment (>50%) must be with the small business	PI may be employed by either the research institution partner or small business
Work Requirement	May subcontract up to: 33% (Phase I) 50% (Phase II)	Minimum: 40% Small Business 30% Research Institution Partner
Majority VC ownership	Allowed by some agencies	Not allowed









America's Seed Fund powered by NOAA:

Small Business Innovation Research (SBIR) Program

National Oceanic and Atmospheric Administration (NOAA)



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Science

Understand and predict changes in climate, weather, oceans, and coasts

Service

Share that knowledge and information with others

Stewardship

Conserve and manage coastal and marine ecosystems and resources



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SBIR at NOAA

NOAA's SBIR program seeks proposals for *highly innovative* products and services with *strong commercial potential* that fit within the *NOAA mission areas.*





Fisheries



Marine & Aviation



Charting



Oceans & Coasts



Sanctuaries



Education



Satellites



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FY22 Topic Areas

NOAA's four main goals:

- Climate Adaptation and Mitigation
- Weather-Ready Nation
- Healthy Oceans

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• Resilient Coastal Communities and Economies

*Past requests for proposals and previously funded projects with abstracts are available on our website.



Water nanotechnology

- Improved coastal water quality supporting human health and coastal ecosystems
- Sustainable fisheries and safe seafood for healthy populations and vibrant communities
- Improved freshwater resource management



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- Healthy habitats that sustain resilient and thriving marine resources and communities
- Healthy people and communities due to improved air and water quality services
- Recovered and healthy marine and coastal species







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NOAA SBIR Fit: Letter of Intent

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Program Overview

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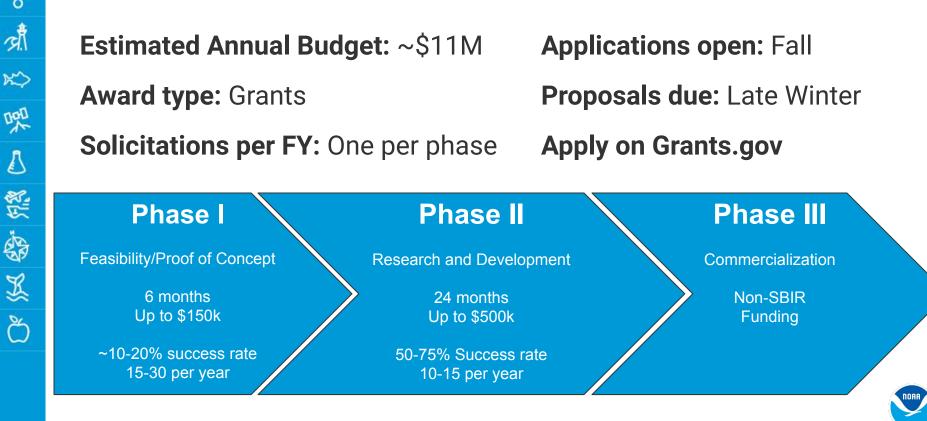
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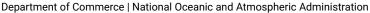
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NOAA SBIR Tips for Success

 \Rightarrow Science, Innovation, Commercialization, Team



Read and follow the instructions.



Get started early and submit early.



Know the agency specifics.



Connect with us

• Email us at noaa.sbir@noaa.gov

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- NOAA SBIR website: <u>techpartnerships.noaa.gov/sbir</u>
- We are developing more resources and training opportunities
- Follow the NOAA Technology Partnerships Office on <u>LinkedIn</u> and on <u>Twitter</u> @NOAAinnovate
- genevieve.lind@noaa.gov



NOAA SBIR: A Three-Phase Approach

- The NOAA SBIR Program is organized in three phases. Phase I supports proof of concept studies... Awards of up to \$150,000 for up to 6
 months aim to establish the technical merit, feasibility, and commercial potential of a technology.
- Phase II awards are for up to \$500,000 and up to a 24-month period and aim to expand Phase I results through continued research and development, towards commercialization. For the NOAA SBIR program, only Phase I award winners are considered for Phase II.
- Phase IIII is the period during which Phase II innovation moves from the laboratory into the marketplace. No SBIR funds support this phase. The small business must find funding in the private sector or other non-SBIR federal agency funding to support the commercialization of the product or service.





NOAA Summary Table

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Program name	NOAA SBIR Program	
URL	RL <u>https://techpartnerships.noaa.gov/sbir/</u>	
	<u>genevieve.lind@noaa.gov</u> noaa.sbir@noaa.gov	
Next deadline	 Fall 2023 SBIR grants: Phase I, Phase II 	
Award amountsPhase I: \$150KPhase II: \$500K		

